

## PATIENT SAFETY

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# Safety of Protected Carotid Artery Stenting in Patients with Severe Carotid Artery Stenosis and Carotid Intraplaque Hemorrhage

**BACKGROUND AND PURPOSE:** Carotid IPH can be detected with MR imaging. The aim of this study was to determine the safety of CAS using an emboli protection device in patients with severe carotid artery stenosis and MR imaging–depicted carotid IPH.

**MATERIALS AND METHODS:** We retrospectively reviewed a prospective data base that included 91 consecutive patients with severe carotid stenosis and high-risk features who were treated with CAS by using an emboli protection device. Seventy-eight of the included patients underwent prestenenting 3D TOF MRA. IPH was defined as the presence of high signal intensity within the carotid plaque, greater than 150% of the signal intensity of the adjacent neck muscle on TOF source images. The primary outcome measure was the combined incidence of stroke, MI, and death within 30 days of CAS. Associations between IPH and the primary outcome were investigated.

**RESULTS:** IPH was detected on TOF MRA in 30 patients. Symptomatic patients were more common in the IPH group than in the non-IPH group (66.7% vs 41.7%;  $P = .032$ ). Overall, 30-day stroke, MI, or death rates were 6.6%. There was no significant difference in the primary outcome between the IPH and non-IPH groups (10% and 6.25%, respectively; hazard ratio for IPH, 1.151; 95% CI, 0.035 to 37.500;  $P = .937$ ). A logistic regression showed there was no independent variable associated with the primary outcome.

**CONCLUSIONS:** The results of this study indicate that protected CAS seems to be safe in patients with severe carotid stenosis and IPH.

**ABBREVIATIONS:** CAS = carotid artery stenting; CEA = carotid endarterectomy; CI = confidence interval; CREST = Carotid Revascularization Endarterectomy vs Stenting Trial; IPH = intraplaque hemorrhage; MI = myocardial infarction; MPRAGE = magnetization-prepared rapid acquisition with gradient echo; TOF = time-of-flight

Atherosclerotic carotid artery stenosis is an important cause of ischemic stroke, accounting for approximately 20% of all ischemic strokes.<sup>1</sup> CEA has been established as an effective treatment for both symptomatic and asymptomatic patients.<sup>2–4</sup> CAS is an alternative approach for treating carotid stenosis in high surgical risk patients, particularly those with symptomatic high-grade stenosis. The results of the CREST study showed that outcomes with CAS are similar to those with CEA in both the short and long term.<sup>5</sup>

IPH is a critical factor in the destabilization and growth of carotid atherosclerotic plaques,<sup>6,7</sup> and is associated with the development of future cerebral ischemic events.<sup>8–10</sup> MR imaging is a valuable noninvasive tool for characterizing carotid atherosclerotic plaques. Carotid IPH can be identified in T1-

weighted MR imaging sequences.<sup>11–13</sup> The presence of IPH at high risk of causing thromboembolic events may increase the risk of distal embolization during the carotid revascularization procedures. However, no studies investigating the safety of CAS in patients with carotid IPH have been previously conducted. Therefore, the present study was performed with the goal of assessing the safety of CAS using an emboli protection device in patients with high-grade carotid stenosis and carotid IPH.

## Materials and Methods

### Study Population

From a prospective registry of 91 consecutive patients who were treated with elective CAS and distal embolic protection, we selected 80 patients who underwent neck 3D TOF MRA within 1 month before CAS. Two patients whose neck MRA was of insufficient quality for reliable evaluation were excluded, leaving 78 patients in the study. This study was a retrospective analysis approved by our institutional review board. Informed consent for the retrospective analysis of the patient data was waived by the institutional review board.

According to previously published criteria,<sup>14</sup> all 78 patients undergoing protected CAS are at high surgical risk for carotid endarterectomy. The high-risk features included were high cervical carotid stenosis ( $n = 38$ ), clinically significant cardiac disease ( $n = 12$ ), contralateral carotid occlusion ( $n = 10$ ), age  $\geq 80$  years ( $n = 8$ ), tandem stenosis  $\geq 70\%$  ( $n = 6$ ), and severe pulmonary disease ( $n = 4$ ). All patients had severe carotid stenosis (70% to 99%, based on NASCET criteria) on conventional angiography.

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To evaluate baseline characteristics of the patients, the risk factors considered were defined as follows: history of ischemic heart disease was defined as a previous MI or coronary artery bypass grafting; dyslipidemia was defined as hypercholesterolemia (total cholesterol >220 mg/dL, low-attenuation lipoprotein >160 mg/dL, triglyceride >150 mg/dL, or high-attenuation lipoprotein <40 mg/dL) or treatment with cholesterol-lowering medication; hypertension was defined as systolic blood pressure >140 mm Hg and/or a diastolic blood pressure >90 mm Hg, or treatment with antihypertensive medication; diabetes mellitus was defined as a fasting serum glucose level >110 mg/dL, HbA1c >6.5%, or use of antidiabetic medication.

Symptomatic carotid artery stenosis was defined as the onset of focal neurologic symptoms (TIA or nonfatal stroke) occurring within 6 months of CAS and attributable to an ipsilateral carotid artery vascular distribution.

### **Carotid Stent Placement Procedure**

Between August 2006 and June 2010, 91 consecutive patients with severe carotid stenosis and high-risk features were treated with protected CAS at our institution. The CAS procedures were performed by 1 interventional neuroradiologist with 10 years' experience in neurovascular intervention (W.Y.). Written informed consent for the CAS procedure was obtained from all patients. All patients were examined by a stroke neurologist before and after the procedure to document cerebrovascular symptom status and to record any new neurologic deficits. All patients were treated with aspirin at a dose of 100 mg/day and clopidogrel at a dose of 75 mg/day for at least 3 days before the procedure. At the beginning of the procedure, heparin was given intravenously as a 3000 IU bolus. All patients received aspirin and clopidogrel for at least 3 months after CAS.

All procedures were performed using a femoral approach. After placement of the 8F guiding catheter, diagnostic common carotid angiography was performed to determine the severity of carotid stenosis according to NASCET criteria. CAS was performed with the SpiderRX distal embolic protection system (ev3, Plymouth, Minnesota). After crossing the lesion with a microguidewire, the filter was deployed before predilation was performed. Predilation was performed with a 5- or 6-mm balloon catheter. CAS was completed using a RX Acculink carotid stent (Abbott Vascular, Santa Clara, California). Postdilation was not routinely performed. After CAS was completed, the filter was captured and retrieved by the delivery catheter, followed by completion of the angiography. Procedural success was defined as completion angiography showing <30% residual stenosis, without alteration in the intracranial circulation. After the procedure, all patients were monitored in the intensive care unit for 24 hours.

### **MR Imaging Examinations and Image Analysis**

MR imaging examinations were performed on a 1.5 MR imaging scanner (Signa HDxt; GE Healthcare, Milwaukee, Wisconsin) using an 8-channel phased-array coil, and included routine clinical brain MR imaging sequences, 3D TOF MRA of the circle of Willis, and neck 3D TOF MRA. The indications for MR imaging examinations were TIA or ischemic stroke ( $n = 40$ ), headache ( $n = 22$ ), routine medical work-up ( $n = 14$ ), and amaurosis fugax ( $n = 2$ ). The mean period between MR imaging and CAS was 16 days, ranging from 1–30 days.

The neck 3D TOF MRA was acquired with a multiple overlapping thin-slab acquisition in the axial plane using a gradient-echo technique with the following parameters: TR/TE/flip angle, 24 ms/6.8 ms/20°; FOV, 240 × 240 mm<sup>2</sup>; matrix, 384 × 160; section thickness, 2 mm; 65 sections. The acquired voxel size was 0.63 × 1.5 × 2 mm<sup>3</sup>

and was reconstructed to a matrix of 512 × 512, with interpolation to 132 sections at 1 mm. The total coverage was 130 mm, and the scan time was 2 minutes 15 seconds.

Two neuroradiologists reviewed the TOF source images of the carotid bifurcation and the proximal internal carotid artery, and final interpretations were reached by consensus. For interpretation of the MRA, these radiologists were blinded to patient clinical information and findings from the conventional angiography. Only axial source images of the TOF MRA were used for image evaluation. All images were reviewed on a PACS system. For analysis, MR imaging signal intensities were measured in a 6- to 10-mm<sup>2</sup> circular region of interest over the carotid plaque. IPH was defined as the presence of a hyperintense signal intensity within the carotid plaque greater than 150% of the signal intensity of the adjacent neck muscle on TOF source images.<sup>8</sup>

### **Outcome**

Neurologic evaluation was performed at baseline, immediately and 24 hours after the procedure, at the time of any change in clinical symptoms, before patient discharge, and 1 month afterward by a stroke neurologist. The evaluation consisted of the NIHSS and the modified Rankin Scale.

The primary outcome measure was the combined incidence of any stroke, MI, or death during the 30-day periprocedural period. Stroke was defined as an acute neurologic event with focal symptoms and signs, lasting for 24 hours or more, that were consistent with focal cerebral ischemia. A major stroke was defined as stroke symptoms associated with a NIHSS score of 9 or higher 30 days after the procedure. A minor stroke was defined as stroke symptoms associated with a NIHSS score of 8 or less. MI was defined as the combination of elevated cardiac enzymes (creatinine kinase-MB or troponin level) to a value 2 or more times the upper limit of normal, plus chest pain.

The secondary outcome measures were as follows: 1) technical success, defined as successful deployment of all devices, filter retrieval, and poststent diameter stenosis <30% using the NASCET method; 2) hyperperfusion syndrome, defined as the occurrence, either singly or in combination, of an ipsilateral throbbing headache with or without nausea, vomiting, ipsilateral focal seizures, or focal neurologic deficit without radiographic evidence of infarction<sup>15</sup>; and 3) ICH associated with hyperperfusion syndrome, defined as CT evidence of intraparenchymal or SAH accompanied by hyperperfusion syndrome. The patients were assessed clinically at the follow-up visit 30 days after CAS. Follow-up CT angiography or conventional angiography was not performed within 30 days.

### **Statistical Analysis**

Patients were divided into the IPH group or the non-IPH group for comparison. Statistical analyses were performed with SPSS software (Version 15.0; SPSS, Chicago, Illinois). Comparison of baseline characteristics and clinical outcomes between the 2 groups was done with the  $\chi$ -square test for categorical and binary data, and the Student  $t$  test for continuous data. Multiple logistic regression was run with the dependent variable as a primary outcome. The independent variables were IPH, age, sex, index event, cerebrovascular history, history of ischemic heart disease, and cerebrovascular risk factors. A value of  $P < .05$  was considered significant.

### **Results**

#### **Patient Demographics**

Data from 78 patients were analyzed. Most patients were male ( $n = 69$ ; 88.5%) and the mean age was  $70.8 \pm 5.67$  years. TOF



**Fig 1.** An example of intraplaque hemorrhage seen on a TOF source image in a 71-year-old man with a left cerebral ischemic event. *A*, Axial source image of TOF MRA shows the IPH (short arrows) in the eccentric left carotid plaque, which surrounds the lumen of the internal carotid artery with severe stenosis. IPH is identified as a hyperintense signal intensity compared with the adjacent neck muscle. *B*, Lateral projection of a left carotid angiogram shows long segmental severe stenosis in the proximal cervical portion of the left internal carotid artery. *C*, Postcarotid artery stent placement angiogram shows minimal residual stenosis at the origin site of the left internal carotid artery.

**Table 1: Baseline characteristics of the study population**

	No IPH ( <i>n</i> = 48; 61.5%)	IPH ( <i>n</i> = 30; 38.5%)	<i>P</i>
Age (years)	71 ± 7	70 ± 7	0.880
Sex, male	41 (85.4%)	28 (93.3%)	0.469
Index event			
TIA	8 (16.7%)	4 (13.3%)	0.758
Ischemic stroke	12 (25%)	16 (53.3%)	0.011
TIA or ischemic stroke	20 (41.7%)	20 (66.7%)	0.032
Cerebrovascular history			
Previous ischemic stroke	11 (22.9%)	7 (23.3%)	0.966
History of ischemic heart disease	13 (27.1%)	8 (26.7%)	0.968
Cerebrovascular risk factors			
Hypertension	34 (70.8%)	24 (80%)	0.367
Diabetes mellitus	21 (43.8%)	12 (40%)	0.744
Dyslipidemia	9 (18.8%)	10 (33.3%)	0.144
Atrial fibrillation	6 (12.5%)	1 (3.3%)	0.240
Smoking	24 (50%)	17 (56.7%)	0.566

**Note:**—Data are means ± SD or number of patients (%).

source images demonstrated IPH in 30 patients (38.5%) (Fig 1). The baseline characteristics of patients with and without IPH are presented in Table 1. Overall, 28 patients presented with nonfatal ischemic stroke before CAS, and 12 presented with TIA. Nonfatal ischemic stroke as an index event was significantly more common in the IPH group (53.3%) than in the non-IPH group (25%; *P* = .011). The prevalence of TIA before CAS was not different between the 2 groups. Overall, symptomatic patients were more common in the IPH group than in the non-IPH group (66.7% versus 41.7%; *P* = .032). The other baseline characteristics were similar between the 2 groups.

### Outcome

Overall, 30-day stroke, MI, or death rates were 6.6% (6 of 91 patients) during the study period. Four patients had a minor stroke, and none had a major stroke during the 30 days after CAS. The 30-day clinical outcomes of patients with and with-

**Table 2: Thirty-day clinical outcomes after protected carotid artery stenting**

	No IPH ( <i>n</i> = 48; 61.5%)	IPH ( <i>n</i> = 30; 38.5%)	<i>P</i>
Hyperperfusion syndrome	1 (2.1%)	2 (6.7%)	0.555
Minor stroke	2 (4.2%)	2 (6.7%)	0.636
Major stroke	0	0	—
MI	0	1 (3.3%)	0.385
Death	1 (2.1%)	1 (3.3%)	1.000
Stroke or MI or death	3 (6.25%)	3 (10%)	0.670

**Note:**—Data are numbers of patients (%).

out IPH are shown in Table 2. One patient with IPH died of an acute MI during a coronary stent placement procedure 2 days after the CAS. One patient in the non-IPH group died of an acute subarachnoid hemorrhage and hyperperfusion syndrome, which occurred 4 hours after the CAS. There were no mortalities related to ischemic complications.

There was no significant difference in the primary outcome between the IPH and non-IPH groups (10% and 6.25%, respectively; hazard ratio for IPH, 1.151; 95% CI, 0.035 to 37.500; *P* = .937). Multiple logistic regression showed that there was no other independent variable associated with the primary outcome by univariate and multivariate analysis, as well as IPH.

Technical success was achieved in all patients. Isolated hyperperfusion syndrome occurred in 1 patient (1.1%), and ICH occurred in 2 patients (2.2%), for a combined incidence of 3.3% in 91 CAS procedures. One patient with nonhemorrhagic hyperperfusion syndrome in the IPH group showed diffuse brain swelling in the ipsilateral cerebral hemisphere upon immediate poststenting CT, and had mild disability 30 days after CAS (NIHSS score = 4). There was 1 death associated with intracranial hemorrhage and hyperperfusion syndrome in the non-IPH group, as described above. One patient with IPH showed localized SAH and ICH in the ipsilateral



**Table 3: Incidence of clinical outcome according to the presence or absence of patient symptoms before CAS**

	Symptomatic (n = 40; 51.7%)		Asymptomatic (n = 38; 48.3%)	
	IPH (n = 20)	No IPH (n = 20)	IPH (n = 10)	No IPH (n = 28)
Hyperperfusion syndrome	2	1	0	0
Minor stroke	2	1	0	1
Major stroke	0	0	0	0
MI	1	0	0	0
Death	1	1	0	0
Stroke or MI or death	3	2	0	1

**Note:**—Data are numbers of patients.

temporal lobe upon immediate poststenting CT, but had no neurologic symptoms. He remained asymptomatic 30 days after CAS. There was no significant difference in the incidence of hyperperfusion syndrome between the IPH and non-IPH groups (Table 2).

The incidence of clinical outcomes, according to the presence or absence of patient symptoms before CAS, is presented in Table 3. Most (83%) patients with primary outcome were symptomatic patients. The secondary outcome occurred exclusively in symptomatic patients.

## Discussion

Our study indicates that protected CAS can be performed safely in patients with high-grade stenosis and carotid IPH. The findings of the current study showed that there was no statistically significant difference between the patients with IPH and those without in the combined incidence of stroke, MI, or death within 30 days of CAS. The results of the CREST study showed that stent placement and endarterectomy were equivalent in terms of the composite end points of stroke, MI, or death within 30 days, as well as for the rate of stroke for up to 4 years.<sup>5</sup> CREST also showed that the risk of major stroke was low with either intervention (0.9% for CAS and 0.6% for CEA). There was no major stroke in the current study, whether the patients did or did not have IPH. CREST reported a periprocedural minor stroke rate of 3.2%. In our study, the overall minor stroke rate within 30 days was 4.4% (4 of 91).

Recent and fresh hemorrhage within the carotid plaque can be reliably identified with MR imaging using various T1-weighted sequences such as black-blood spin-echo, gradient-echo, TOF MRA, MPRAGE, and contrast-enhanced MRA.<sup>11–13</sup> We used TOF MRA source images to detect carotid IPH in the present study. A high signal intensity area within the plaque around the carotid artery on TOF MRA, compared with histopathologic findings, demonstrated a sensitivity of 91% and a specificity of 83% in the depiction of an IPH.<sup>11</sup>

IPH plays a critical role in the evolution of carotid atherosclerotic disease. The presence of IPH in carotid atherosclerotic plaques has been associated with not only an accelerated growth of the plaque burden but also the development of future cerebral ischemic events.<sup>8–10</sup> Several studies have shown that carotid IPH is also strongly associated with previous ipsilateral ischemic events and may predict subsequent cerebral ischemic events.<sup>10,16</sup> The findings from our study are in agreement with previous studies. In the present study, IPH was more prevalent in symptomatic than in asymptomatic carotid

plaques. Notably, nonfatal ipsilateral stroke as an index event was significantly more common in the IPH group than in the non-IPH group.

A recent study showed that there was increased spontaneous microembolic activity on transcranial Doppler imaging in patients with IPH shown on MR imaging scans.<sup>17</sup> Thus, it can be postulated that a distal embolic event is more likely to occur during the CAS procedure in patients with IPH than in those without IPH. Yamada et al recently reported that the incidence of new ipsilateral silent DWI lesions was significantly greater after CAS than after CEA (61% versus 13%) in patients with carotid high-signal-intensity plaques, revealed by black-blood fast spin-echo T1-weighted sequences.<sup>18</sup> A carotid high-signal-intensity plaque was defined as a signal intensity  $\geq 125\%$  that of the adjacent muscle in their study. They suggested that there might be a correlation between the carotid plaque instability and the incidence of distal embolism after CAS. However, they did not evaluate the associations between carotid high signal intensity plaques and the occurrence of ischemic symptoms after CAS. Besides intraplaque hemorrhage, lipid-rich necrotic core also can be attributed to high signal intensity on black-blood fast spin-echo T1-weighted sequences.<sup>19,20</sup> In the current study, however, there was no statistically significant difference in the rates of minor or major stroke during the periprocedural period between IPH and non-IPH patients. This result can be attributed to the use of a distal emboli protection device, which may efficiently prevent the risk of distal embolism during a CAS procedure. We used a single type of distal embolic protection device in this study.

Sakamoto et al reported that carotid IPH can be used as a predictive factor of slow-flow phenomenon in CAS.<sup>21</sup> In their study, slow-flow phenomena occurred in 10 of 31 CAS procedures, and 7 of 10 slow-flow phenomena were observed in patients with carotid IPH, revealed by multicontrast MR plaque imaging. We observed no slow-flow phenomena in this study.

There is only 1 report in the literature of a study of the association between carotid IPH and the risk of microembolization during CEA.<sup>22</sup> Altaf et al<sup>17</sup> found that carotid IPH, observed on MR imaging scans, is significantly associated with an increased risk of microembolization, detected on a transcranial Doppler imaging scan during the dissection phase of carotid endarterectomy. They suggested that the presence of IPH is a strong indicator of a carotid plaque that is likely to cause embolization during endarterectomy, and therefore the patient has a higher perioperative risk.<sup>17</sup> In addition, Verhoeven et al showed that the number of microembolic events detected during the dissection phase of CEA is significantly related to immediate adverse neurologic events.<sup>23</sup> Further studies are needed to clarify the appropriate treatment guidelines in patients with carotid stenosis and vulnerable plaque, such as IPH.

The limitations of this study were that the sample size was relatively small, and long-term follow-up data were not available. Longer follow-up is mandatory to draw more definitive conclusions about the safety of CAS in patients with vulnerable carotid plaque. Another limitation was that carotid IPH was determined with 1 MR imaging sequence, TOF MRA, rather than a multicontrast protocol. A recent study indicated that the MPRAGE sequence, compared with the black-blood,

fast spin-echo, and TOF sequences, demonstrated higher diagnostic capability for detecting and quantifying IPH.<sup>12</sup> In addition, only IPH is considered a vulnerable characteristic of the carotid plaques in the current study. Although IPH contributes to plaque vulnerability, other factors such as a thin or ruptured fibrous cap, a large lipid-rich core, and wall thickness are also associated with subsequent cerebrovascular events.<sup>24</sup> It would be useful to evaluate the association between other vulnerable characteristics of carotid plaques and the periprocedural safety of CAS in the future. Finally, the association of carotid IPH and cerebral ischemic symptoms remains somewhat controversial in the literature. There are various potential sources of heterogeneity and confounders among published studies addressing this issue regarding the definition of IPH; the criteria for determining age of IPH; size, number, and location of IPH; different degrees of carotid stenosis; and patient selection bias. A large well-designed study with uniformity in definition and evaluation for IPH might be warranted.<sup>25</sup>

## Conclusions

We sought to assess the safety of protected CAS in patients with carotid IPH detected by MR imaging. We report that there was no significant difference in the 30-day clinical outcome between patients with IPH and those without IPH. The results of this study indicate that CAS using an emboli protection device seems to be safe in patients with high-grade carotid stenosis and IPH.

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