Sickle Cell Disease: Reference Values and Interhemispheric Differences of Nonimaging Transcranial Doppler Blood Flow Parameters

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BACKGROUND AND PURPOSE: TCD screening is widely used to identify children with SCD at high risk of stroke. Those with high mean flow velocities in major brain arteries have increased risk of stroke. Thus, our aim was to establish reference values of interhemispheric differences and ratios of blood flow Doppler parameters in the tICA, MCA, and ACA as determined by conventional TCD in children with sickle cell anemia.

MATERIALS AND METHODS: Reference limits of blood flow parameters were established on the basis of a consecutive cohort of 56 children (mean age, 100 ± 40 months; range, 29–180 months; 30 females) free of neurologic deficits and intracranial stenosis detectable by MRA, with blood flow velocities <170 cm/s by conventional TCD. Reference limits were estimated by using tolerance intervals, within which are included with a probability of .90 of all possible data values from 95% of a population.

RESULTS: Average peak systolic velocities were significantly higher in the right hemisphere in the MCA and ACA (185 ± 28 cm/s versus 179 ± 27 and 152 ± 30 cm/s versus 143 ± 34 cm/s respectively). Reference limits for left-to-right differences in the mean flow velocities were the following: −43 to 33 cm/s for the MCA; −49 to 38 cm/s for the ACA, and −38 to 34 cm/s for the tICA, respectively. Respective reference limits for left-to-right velocity ratios were the following: 0.72 to 1.25 cm/s for the MCA; 0.62 to 1.39 cm/s for the ACA, and 0.69 to 1.27 cm/s for the tICA. Flow velocities in major arteries were inversely related to age and Hct or Hgb.

CONCLUSIONS: The study provides reference intervals of TCD flow velocities and their interhemispheric differences and ratios that may be helpful in identification of intracranial arterial stenosis in children with SCD undergoing sonographic screening for stroke prevention.

ABBRVIATIONS: ACA = anterior cerebral artery; CHOP = Children’s Hospital of Philadelphia; F = Snedecor ratio; Hct = hematocrit level (%); Hgb = hemoglobin concentration (g/dL); ICA = internal carotid artery; MCA = middle cerebral artery; MRA = MR angiography; PI = pulsatility index; r = Pearson correlation; R = Spearman correlation; SCD = sickle cell disease; TCD = transcranial Doppler sonography; tICA = terminal segment of internal carotid artery; TOF = time-of-flight; VED = end-diastolic velocity; $V_{MAX}$ = mean time-averaged maximum velocity; $V_{PS}$ = peak systolic velocity

Children with SCD are at high risk for developing stroke. The risk is highest in children with elevated blood flow velocity in the tICA or proximal MCA as measured with TCD. Chronic blood transfusions, if implemented in a timely fashion, in those with flow velocity >200 cm/s can reduce the risk of stroke by as much as 92%. The use of a single TCD velocity alone to stratify risk of stroke is limited, as shown by the fact that 60% of patients with velocities in the high-risk range, who did not receive chronic transfusion therapy, remained stroke-free during the subsequent 40 months. Also, a single flow-velocity measurement from an artery cannot differentiate arterial stenosis from hyperemia. Hyperemia is a sign of worsening global anemia, thus existing intracranial stenosis inevitably must augment the risk of stroke. It is tempting to assume, therefore, that children with hyperemia may not have the same risk/benefit ratio from indefinite transfusions as those with arterial stenosis.

The Stroke Prevention Trial in Sickle Cell Anemia investigators suggested that unilateral high-flow velocity indicates stenosis, whereas bilateral high velocity represents bilateral stenosis, hyperemia, or both. Substantial side-to-side differences in flow velocities in individual children without any arterial stenosis, however, indicate that extrapolation of average group symmetry in flow velocities to individual children with SCD may not be correct.

There is a potential to improve the sonography screening by using reference tolerance limits of interhemispheric differences in flow velocities in major brain arteries. These tolerance limits can inform an investigator of the side-to-side differences in blood flow Doppler parameters that can occur in
children with SCD without hyperemia or evidence of arterial stenosis. Thus, the goal of our study was to establish such reference tolerance limits for TCD parameters on the basis of data from children with SCD who were not on chronic transfusion therapy, who had no history of overt stroke, who were free of signs or symptoms of focal vascular-territory ischemic brain injury, and who did not have intracranial arterial stenosis on MRA.

Materials and Methods
The institutional review board of the CHOP approved the protocol of this prospective study that was also compliant with the Health Insurance Portability and Accountability Act. Our cohort was recruited within the frame of the SCD Children Ongoing Radiologic Evaluation study sponsored by the National Institutes of Health. Written informed consent was given by subjects’ parents (with assent for subjects 7 years and older).

Study Group
The study group was drawn from the Comprehensive Sickle Cell Center at CHOP by using the following inclusion criteria: 1) homozygous for the sickle cell gene (SS), confirmed by DNA–based mutational analysis; 2) 4–14 years of age; 3) absence of localizing abnormalities on neurologic examination indicating prior vascular-territory ischemic injury; and 4) no history of stroke. Exclusion criteria were the following: 1) history of major head injury requiring a visit to an emergency department; 2) history of seizure disorder requiring anticonvulsant therapy; 3) chronic transfusion therapy; 4) occurrence of acute chest syndrome or other significant acute illness in the period between blood laboratory and sonographic studies; 5) history of prenatal or perinatal hypoxic-ischemic brain injury; 6) evidence of human immunodeficiency virus infection; 7) pregnancy; and 8) mean flow velocity >170 cm/s in any intracranial artery on a screening routine TCD examination at entry to the study.

Clinical Assessment
A pediatric neurologist performed screening neurologic assessment to determine whether the child met neurologic inclusion and exclusion criteria. The assessment included a history of pre-existing exlusionary neurologic diagnoses (major head injury, epilepsy, prenatal or perinatal hypoxic-ischemic brain injury, prior clinically overt stroke) and a standard comprehensive neurologic examination for localizing deficits consistent with prior cerebrovascular insult. All qualifying children subsequently underwent TCD, brain MR imaging, and MRA examinations. Hgb and Hct were obtained during well visits closest to the time of sonographic studies. If hematolog data were unavailable within 30 days of the study, we used an average of Hgb and Hct values from the 3 closest well visits because children were at steady-state. Thirty-three (59%) children had hematologic studies performed within 30 days of TCD examination, and only 1 child had laboratory studies performed earlier than 1 year before the sonography study. The median time from Hct and Hgb measurements to sonographic studies was 23 days (range limits, 0–437 days).

TCD
TCD studies were performed independently of MRA by 1 of 3 sonographers, each with >5 years of experience, by using a single-channel portable unit (Pioneer TC 8080; Nicolet Vascular, Madison, Wisconsin) equipped with a hand-held transducer operated in a range-gated pulse-waved mode at 2 MHz. The studies were performed while the children were awake; no sedation was used. TCD studies were performed by using a standard approach through a temporal acoustic window. Blood flow Doppler signal intensity in the tICA, MCA, and ACA arteries was detected by using an adjustable operating depth at 2-mm increments and the sample volume size of 8–10 mm in the axial and 5 mm in the lateral direction.11 The $V_{\text{MIN}}$, $V_{\text{PS}}$, and $V_{\text{ED}}$ velocities were obtained by automatic tracing of the outline of Doppler waveforms. PI was calculated by using the following formula: $PI = (V_{\text{PS}} - V_{\text{ED}})/V_{\text{MIN}}$. For the analysis, we selected recordings based on the highest recorded velocity value in the particular artery.

In 1 patient, the TCD examination was unsuccessful due to the patient’s agitation and anxiety.

MRA
To exclude children with intracranial arterial stenosis, we performed a TOF 3D gradient-echo sequence (TR/TE = 28/3.28 ms, flip angle = 25°; matrix = 512 × 448) on a 3T scanner (Magnetom Trio; Siemens, Erlangen, Germany) covering the intracranial arteries in the axial plane. Raw data from TOF MRA were transferred to an on-line workstation for the generation of segmented 2D arteriographic reconstructions. The segmented 2D reconstructions and raw data of the intracranial part of the ICAs and branches of the circle of Willis were displayed on a 1024 × 1024 pixel-display workstation and evaluated independently by 2 pediatric neuroradiologists (E.R.M. with 15 and R.Z. with 25 years of experience in angioigraphy) unaware of the sonographic findings. Each evaluated all studies, and discrepancies were resolved by consensus. No extracranial arterial narrowing was identified in any patients. The neuroradiologists excluded 7 children with intracranial arterial stenosis and 4 with degraded MRAs due to motion artifacts.

Statistical Analyses
We used the statistical software SYSTAT 12 (SPSS Science, Chicago, Illinois), GraphPad free software (GraphPad, La Jolla, California; http://www.graphpad.com), and R statistical computing software (http://www.r-project.org/) to analyze data. Flow velocities were treated for outliers by using Grubb $t$ statistics at an $\alpha$ level <.05.12 Outliers in blood flow parameters, if present, were not considered while checking for normality by using Lilliefors test, provided by SYSTAT. Because there were no outliers, the values of flow velocities from both hemispheres were first compared by using a paired 2-sided $t$ test and for PI by using the Wilcoxon test. A Pearson correlation coefficient ($r$) for normally distributed data and a Spearman rank correlation coefficient ($R$) for non-normally distributed data were used to quantify between-sides relationships of TCD parameters. Sex differences in age, hematologic values, blood flow parameters, and interhemispheric differences and ratios were analyzed by using a 2-sample $t$ test for unequal variances and by using the Mann-Whitney $U$ test for non-normally distributed data.

We used estimates of tolerance intervals, which have a probability of .90 of containing 95% of the population, to determine reference values of interhemispheric differences and ratios in Doppler parameters.13 Because Doppler velocities followed Gaussian distribution, we calculated the Gaussian tolerance interval. If $L_1$ and $L_2$ are the lower and upper limits of the interval, then $L_1 = \mu - k_s, L_2 = \mu + k_s$, where values of $k$ were taken from an article by Weissberg-Beatty,14 $\mu = \text{mean}, s = \text{SD}$. For PI, interhemispheric differences, and ratios in
Doppler parameters, we calculated nonparametric tolerance intervals based on the method of Wilks. 15

Multivariable linear regression analysis was used to determine associations of blood flow Doppler parameters with age after adjustment for Hgb or Hct. Using a distance-weighted least-squares smoothing method, we computed curves for the mean flow velocity and age relation. Also the age dependency curves were computed for bias adjustment for Hgb or Hct. Using a distance-weighted least-squares regression models, we found significant inverse relationships between blood flow velocities in major brain arteries with age, when controlling for Hct or Hgb.

A probability of <.05 was considered significant.

Results
Fifty-six children (mean age, 100 ± 40 months; range limits, 29–180 months; 30 females, 26 males) of 74 completed all studies and did not have arterial stenosis on MRA. There was no age difference between males and females. Mean values of hematologic variables were Hgb = 8.2 ± 1.2 g/dL and Hct = 23.9 ± 3.5% and were not different between males and females.

Tolerance Intervals for Blood Flow Doppler Parameters
In 4 patients, we found TCD velocities slightly higher than 170 cm/s. The discrepancy between the entry TCD criterion and actual TCD values taken for analysis most likely occurred due to interval change in hemodynamic status between recruitment and TCD performed for the study. No outliers were found in values of Doppler blood flow velocities. The reference ranges for all parameters were generally wide (Table 1). The upper reference limits of mean blood flow velocities in both MCAs were higher by 8–9 cm/s above the threshold commonly used to separate normal from conditional flow velocities. In contrast, in both ACAs, the respective limits were lower by approximately 7–9 cm/s. Only limits for both tICAs were very close to the threshold.

Correlation coefficients for Doppler parameters between sides in the studied arteries were high and varied from 0.67 to 0.77, except for the PI from the ACA, where r was 0.55 (Table 2). No significant sex differences were found in any TCD parameter. With linear regression models, we found significant inverse relationships between blood flow velocities in major brain arteries with age, when controlling for Hct or Hgb. (Tables 1 and Fig 1). Although in some individual blood flow Doppler parameters, the age dependency did not reach statistical significance, the dependency pattern across arteries was consistent. The velocities decreased with age in children older than 84–96 months.

Table 1: Values of blood flow Doppler parameters with their tolerance interval limits given for MCA, ACA, and tICA obtained in 56 children with SCD

<table>
<thead>
<tr>
<th>Parameters</th>
<th>MCA</th>
<th>ACA</th>
<th>tICA</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vps (cm/s)</td>
<td>Left</td>
<td>Right</td>
<td>Left</td>
</tr>
<tr>
<td>Mean</td>
<td>179 ± 27b</td>
<td>185 ± 28b</td>
<td>143 ± 34b</td>
</tr>
<tr>
<td>Tolerance interval (cm/s)</td>
<td>117–240</td>
<td>120–250</td>
<td>66–220</td>
</tr>
<tr>
<td>Vmn (cm/s)</td>
<td>Left</td>
<td>Right</td>
<td>Left</td>
</tr>
<tr>
<td>Mean</td>
<td>131 ± 21</td>
<td>133 ± 20</td>
<td>106 ± 25b</td>
</tr>
<tr>
<td>Tolerance interval (cm/s)</td>
<td>83–179</td>
<td>88–178</td>
<td>49–163</td>
</tr>
<tr>
<td>VEd (cm/s)</td>
<td>Left</td>
<td>Right</td>
<td>Left</td>
</tr>
<tr>
<td>Mean</td>
<td>92 ± 18</td>
<td>90 ± 17</td>
<td>72 ± 18</td>
</tr>
<tr>
<td>Tolerance interval (cm/s)</td>
<td>50–133</td>
<td>52–128</td>
<td>31–113</td>
</tr>
<tr>
<td>PI</td>
<td>Left</td>
<td>Right</td>
<td>Left</td>
</tr>
<tr>
<td>Median</td>
<td>0.64c–0.80</td>
<td>0.74c–0.87</td>
<td>0.65c–0.62</td>
</tr>
<tr>
<td>Tolerance interval</td>
<td>0.41–1.21</td>
<td>0.44–1.31</td>
<td>0.38–1.00</td>
</tr>
</tbody>
</table>

*Limits of tolerance intervals for each Doppler parameter are also provided as a percentage of the mean to better disclose the magnitude of limits.

b Statistically significant between-sides difference where the P value is <.05 (t test).

c Statistically significant between-sides difference where the P value is <.05 (Wilcoxon test).

Table 2: Correlation coefficients for blood flow Doppler parameters from right-sided MCA, ACA, and tICA with corresponding parameters from left-sided arteries in 56 children with SCD

<table>
<thead>
<tr>
<th>Parameter</th>
<th>MCA</th>
<th>ACA</th>
<th>tICA</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vps (r)</td>
<td>0.70</td>
<td>0.68</td>
<td>0.67</td>
</tr>
<tr>
<td>Vmn (r)</td>
<td>0.76</td>
<td>0.71</td>
<td>0.67</td>
</tr>
<tr>
<td>VEd (r)</td>
<td>0.73</td>
<td>0.77</td>
<td>0.68</td>
</tr>
<tr>
<td>PI (r)</td>
<td>0.68</td>
<td>0.55</td>
<td>0.71</td>
</tr>
</tbody>
</table>

*All correlations were significant, P < .001.
difference was not higher than 36 cm/s. Tolerance of reference limits for PIs showed the same asymmetric pattern in all studied arteries (Table 4).

The average interhemispheric index calculated on the basis of all velocity values for the MCA was 0.98, while averaged left-to-right limits of the tolerance interval of the index were 0.72–1.27. For instance, mean blood flow velocity on the right side, as high as 80% of the velocity on the left side, is considered normal, while on the left side, velocity as high as 72% of the velocity on the right side is still normal. The tolerance interval for the tICA was similar, and for the ACA, it was wider. The average left/right interhemispheric ratios in PIs were slightly lower than 1.0; however, the tolerance interval for the left/right ratio in the ACA was wider than that for the MCA and tICA (Table 4). No significant sex differences were found in any of the left-to-right differences or ratios of all analyzed TCD parameters.

**Discussion**

Our study provides tolerance limits for TCD parameters and their interhemispheric differences in major brain arteries for children with SCD without arterial stenosis on MRA. Therefore, blood flow Doppler parameters and the interhemispheric differences within these limits in a child in whom TCD velocities are below 170 cm/s likely do not represent arterial stenosis, while the differences over the upper tolerance limits may indicate the presence of stenosis. The latter suggestion needs to be verified in a separate study in a group of children with a larger sample of those with stenosis compared with ours. These limits also may help to distinguish stenosis from hyperemia in children with TCD velocities >170 cm/s, a concept that also deserves further study. Tolerance limits can have immediate practical utility because they may allow more reliable selection of children who would most benefit from close TCD or MRA surveillance and treatment.14,17

**Tolerance Intervals for Intracranial Flow Velocities**

We also provided TCD values of reference intervals for MCAs, tICAs, and ACAs. Upper limits of the mean TCD velocities in MCAs are higher by approximately 10 cm/s than the commonly used threshold of 170 cm/s, which indicates probably abnormal findings.4 The upper reference limit for the ACA is, in contrast, lower by approximately 10 cm/s than the suggested threshold. Only the upper limit for the mean velocity in the tICA is almost the same as the commonly used threshold value of 170 cm/s. It is reasonable to suggest, therefore, that the upper limits of normal values are different for the MCA, ACA, and tICA. Our findings also suggest that the risk of stroke may vary depending on a TCD recording from a specific artery if the same velocity threshold is used to define the risk.

An inverse relationship between blood flow TCD velocities and age in most large brain arteries may suggest that the risk of stroke may not be determined accurately when one velocity threshold is used across the entire age span. In healthy children, flow velocities in the MCA and ICA are lower in older children10,18 probably as a result of proportional growth of both arteries along with body development.19 The slope of age dependency however, is flatter in children with SCD. Such dissociation between blood flow velocity and age in children with SCD can be explained by the effect of hyperdynamic circulation associated with lower Hct and Hgb.5,6,20 We also did find an inverse relationship between blood flow TCD velocities and hematologic parameters. The right-side predominance of higher velocity findings is similar to the right-side effects observed in elderly individuals and in those with hypertension.21,22 It would be interesting to know if such a pattern occurs in healthy children.

As in healthy children, sex differences in Doppler parameters were not observed in our group.10,18 Two other reports, however, did show these differences,23,24 which were explained by sex differences in cerebrovascular resistance and reactivity. In children with SCD, altered resistance and reactivity may counterbalance sex differences in Doppler parameters.25-27

High values of correlation coefficients for blood flow Doppler velocities between sides may suggest that TCD recordings are reliable indicators of a balanced hemodynamic status. This notion is rather unexpected, however, because of the large variability in arterial trajectory and its caliber, variation in instant arterial blood flow and hemispheric metabolic activity, the distribution of microvascular changes, and individual variations in the circle of Willis. In our opinion, the TCD method does not capture an individual geometric pat-

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**Table 3: Associations of transcranial Doppler mean blood flow velocity in centimeters per second (dependent variable in all models) with age in months, when controlling for Hgb and Hct in 56 children with SCD as determined with multivariable linear regression analysis**

<table>
<thead>
<tr>
<th>Artery</th>
<th>Left</th>
<th>Right</th>
</tr>
</thead>
<tbody>
<tr>
<td>MCA</td>
<td>0.14 Age (0.052)–4.13 Hgb (0.092) + 179.9</td>
<td>0.15 Age (0.027)–3.68 Hgb (0.108) + 178.9</td>
</tr>
<tr>
<td>tICA</td>
<td>0.16 Age (0.015)–5.54 Hgb (0.023) + 181.1</td>
<td>0.11 Age (0.091)–0.50 Hgb (0.831) + 138.9</td>
</tr>
<tr>
<td>ACA</td>
<td>0.22 Age (0.099)–2.68 Hgb (0.357) + 156.9</td>
<td>0.13 Age (0.098)–2.58 Hgb (0.339) + 145.8</td>
</tr>
</tbody>
</table>

* P values for each independent variable are given in parentheses.  
† Denotes P < .001 for intercept.
tern because velocity measurements are not corrected for an error related to the angle between the artery and the sonography beam. Also the depth of insonation is not visually controlled because the arteries are not imaged with conventional TCD. Hence, the probability for erroneous sampling is high. In newer imaging TCD, both the angle of insonation and the depth of velocity sampling are well controlled; thus, the geometric pattern is taken into account. Consequently, the between-sides correlation coefficients for imaging TCD velocities are smaller compared with corresponding coefficients for conventional TCD.29 Our finding of a lower correlation coefficient for PI further supports this line of argument, because the PI, practically, does not depend on the vascular configuration of large arteries.30

Tolerance Intervals for Interhemispheric Differences

Average side-to-side differences in hemodynamic parameters in adults were reported to be negligible; thus, substantial interhemispheric asymmetry is commonly interpreted as a sign of arterial narrowing.1,9,21-33 The statistical average group difference cannot be applied to an individual, in whom the exact configuration of the circle of Willis,34 including the presence, caliber, and course of each artery,35,36 and the degree of interhemispheric anatomic,37-39 physiologic,40 and pathophysiologic differences are, a priori, unknown.31,35,43,44 Large variability in side-to-side impedance indices and modest correlation coefficients for vessels that supposedly have no stenosis and hemispheres that are “seeing” the same circulating oxygen content and Hgb indicate that there is not such a tight agreement between sides in blood flow redistributions in response to a chronic oxygen deficit.6-8,43

Also a minor arterial stenosis, which could have remained undetected on MRA, potentially contributed to the variability in our study because the analysis of the Hagen-Poiseuille equation indicates that even small changes in the artery radius may result in extremely drastic changes in flow velocity.1 Such minor stenosis can have important significance in children with SCD in whom adaptation to altered blood rheology may not be well balanced. Hence, the reference tolerance limits of interhemispheric differences are important in interpretation of measurements of blood flow velocities used in screening children with SCD.

The use of side-to-side indices to detect stenosis can be beneficial because they are supposed to be resistant to bilateral physiologic changes.45 The use of interhemispheric indices improves the interobserver and intraobserver reproducibility of Doppler parameters by approximately 50%.45 A strong association between the asymmetric MCA velocity pattern, taken as 15% side-to-side variations in healthy adults,32,33 and hemodynamically significant carotid disease or the presence of underlying ischemic stroke was reported.31 Higher flow-velocity asymmetry was reported in healthy white children, almost similar to asymmetry observed in our group.10 The threshold of 15% of interhemispheric differences as a reference tolerance limit, therefore, should no longer be applied to the individual child with SCD for differentiation of hyperemia from arterial stenosis.

In children with SCD, hyperemic blood flow velocity and hence shear rate are an adaptation to increased blood viscosity. Differentiation of such hyperemic flow patterns from patho-

Fig 1. Diagrams show the age distribution of mean blood flow velocity in the MCA, ACA, and tICA along with Hgb and Hct. The courses of age-velocity curves are relatively stable up to the age of 84–96 months and then decline in older children. Curves for Hct and Hgb show opposite trends.
logic hyperemia is a matter of arbitrary judgment and is not only far from consensus but also needs more and better investigational research data to build assumptions based on evidence. Nevertheless, we propose considering hyperemia when blood flow velocity in a particular artery exceeds 170 cm/s without the presence of narrowing. This suggestion is limited, however, because the universal velocity threshold value for all patients with SCD may not work very well for each individual because velocities depend on hematologic status.

The widths of reference intervals for interhemispheric differences and ratios are narrower for conventional TCD than for imaging TCD. This finding is expected because the variability of velocity measurements is higher for the TCD method, in which the cosine of the angle of insonation is taken into account in velocity calculations. The variability of the angle is related to both the trajectory of the insonated artery in the intracranial space and the position of the sonography probe on the outer surface of the squama of the temporal bone. The “window” is particularly large in children compared with adults, so an operator can capture the artery from many different angles with the potential for a larger range of possible insonation errors.

Our number of subjects is relatively small compared with studied groups of healthy children. The National Committee for Clinical Laboratory Standards recommends that the sample size should consist of at least 120 values. The Committee recognizes, however, that in a special category of individuals, 39 observations is the required minimum to compute a 95% reference interval at 2.5% and 97.5% of the points of the distribution.

**Conclusions**

This study provides reference limits of TCD blood flow velocities and their interhemispheric differences and ratios that may be helpful in identification of intracranial arterial stenosis in low-risk children with SCD undergoing sonographic screening for stroke prevention.

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