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ABSTRACT

BACKGROUND AND PURPOSE: MR imaging—guided focused sonography surgery is a new stereotactic technique that uses high-intensity focused sonography to heat and ablate tissue. The goal of this study was to describe MR imaging findings pre- and post-ventralis intermedius nucleus lesioning by MR imaging—guided focused sonography as a treatment for essential tremor and to determine whether there was an association between these imaging features and the clinical response to MR imaging—guided focused sonography.

MATERIALS AND METHODS: Fifteen patients with medication-refractory essential tremor prospectively gave consent; were enrolled in a single-site, FDA-approved pilot clinical trial; and were treated with transcranial MR imaging—guided focused sonography. MR imaging studies were obtained on a 3T scanner before the procedure and 24 hours, 1 week, 1 month, and 3 months following the procedure.

RESULTS: On T2-weighted imaging, 3 time-dependent concentric zones were seen at the site of the focal spot. The inner 2 zones showed reduced ADC values at 24 hours in all patients except one. Diffusion had pseudonormalized by 1 month in all patients, when the cavity collapsed. Very mild postcontrast enhancement was seen at 24 hours and again at 1 month after MR imaging—guided focused sonography. The total lesion size and clinical response evolved inversely compared with each other (coefficient of correlation = 0.29, *P* value = .02).

CONCLUSIONS: MR imaging—guided focused sonography can accurately ablate a precisely delineated target, with typical imaging findings seen in the days, weeks, and months following the treatment. Tremor control was optimal early when the lesion size and perilesional edema were maximal and was less later when the perilesional edema had resolved.

ABBREVIATIONS: CRST = Clinical Rating Scale for Tremor; Vim = ventralis intermedius nucleus

R imaging—guided focused sonography surgery is a new stereotactic technique that uses high-intensity focused sonography to heat and ablate tissue rapidly under closed-loop image guidance and control throughout all steps of the intervention process. MR imaging allows precise intraprocedural localization of the ablation target, verification of safety margins for the sonography treatment, and real-time monitoring of thermal ab-

lation dynamics.¹⁻⁷ MR imaging–guided focused sonography is now accepted in the treatment of soft-tissue disorders, including prostate cancer and uterine fibroids. Intracranial applications for brain tumors^{8,9} and neuropathic pain syndromes^{10,11} are currently under investigation. More recently, MR imaging–guided focused sonography was tested in a clinical trial as a treatment for essential tremor.

Essential tremor is a common and disabling movement disorder with an estimated prevalence of 0.3%–5.55%. 12-17 Patients with essential tremor may suffer more from the mental effects on quality of life, such as lower perceived health status, 18 than from actual physical symptoms. 19 Essential tremor may be medically refractory: up to 30% of patients do not respond to first-line therapy and may consider surgical options. 20 Improved imaging and refined electrophysiologic localization have demonstrated that the ventralis intermedius nucleus (Vim) of the thalamus is the most effective target. The ventralis intermedius nucleus was the target for the MR imaging–guided focused sonography treatment in the clinical trial mentioned above.

The goal of this study was to describe findings on MR imaging

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both pre- and post-Vim lesioning by MR imaging—guided focused sonography as a treatment for essential tremor in the 15 patients enrolled in the trial and to determine whether there was an association between these imaging features, the number and/or energy of sonications, and the clinical response to MR imaging—guided focused sonography.

MATERIALS AND METHODS

Study Population

Under the auspices of our institutional review board, 15 patients with medication-refractory essential tremor prospectively gave consent; were enrolled in a single-site, FDA-approved pilot clinical trial; and were treated with MR imaging—guided focused sonography targeting the Vim of the thalamus contralateral to the hand-dominant side. A complete medical history was obtained for each patient, along with a detailed physical and neurologic examination. Assessment of the patient's tremor was performed by using a validated Clinical Rating Scale for Tremor (CRST),²¹ which has been shown to be reliable among examiners.²² Inclusion and exclusion criteria are reported elsewhere.²³

Procedure

The MR imaging-guided focused sonography thalamotomies were performed in a clinical 3T MR imaging system (HD750; GE Healthcare, Milwaukee, Wisconsin) by using a clinical system for focused sonography surgery (ExAblate 4000; InSightec, Haifa, Israel) featuring a hemispheric 1024-element phased array transducer.

The patient's head was fully shaven and then was immobilized within a MR imaging–compatible frame placed by using a local anesthetic. The patient's head rigidly affixed in the frame was carefully positioned in the helmet-like cavity of the sonography transducer. A flexible membrane filled with degassed water for sonography sealed the coupling space between the transducer and head surface. The water circulated at 16°C for continuous scalp cooling. The ablation target (ie, the Vim of the thalamus) was localized on 3D T1-weighted MR images by using the anterior/posterior commissure line as a reference. The Vim of the thalamus was initially targeted with standard indirect measurements from the anterior/posterior commissure line.

The first portion of the procedure consisted of several pretreatment test sonications to confirm that the thermal hot spot was centered in the target location. Several low-power sonications of 10-20 seconds' duration were applied to induce peak temperatures of $<45^{\circ}$ C. These brief temperatures are unlikely to cause lesioning but are easily visualized on MR thermometry images to validate the exact position and size of the hot spot, which typically measures 6 mm in height and 4 mm in diameter (75 μ L).

The treatment itself consisted of several high-power sonications applied in an iterative process guided by MR imaging and MR thermometry. To induce local tissue ablation, we stepwise increased the acoustic power from sonication to sonication to finally achieve a peak temperature between 55°C and 60°C at the target. Typically, continuous wave sonications of 10–20 seconds duration, up to a maximum acoustic power of 1200 W and 800 W, respectively, were applied.

Patients were fully awake and responsive during all stages of

the intervention. They were monitored and questioned repeatedly to ensure their neurologic integrity and to assess changes in tremor intensity or other sensations experienced during the treatment.

Clinical Outcome Assessment

All patients were monitored in the hospital for at least 24 hours. Postoperative assessments were performed at 24 hours, 1 week, 1 month, and 3 months and included tremor evaluation, ²¹ neurologic examination, and gait testing. ^{24,25} The contralateral appendicular tremor was evaluated by using the Clinical Rating Scale for Tremor (having a total of 160 points), which includes 3 parts: 1) observed tremor location and severity, 92 points; 2) motor tasks: drawing, handwriting, pouring, 36 points; and 3) disabilities related to speaking, feeding, drinking hygiene, dressing, writing, working, and social activities, 32 points. ²¹

Imaging Studies

Preprocedural imaging included a noncontrast head CT, which was used during the procedure to provide a skull-correction algorithm for transcranial sonication. MR imaging studies were performed on a 3T scanner before the procedure and 24 hours, 1 week, 1 month, and 3 months following the procedure. The MR imaging protocol was the same at all 5 time points and included the following sequences: sagittal MPRAGE (TR = 1900 ms, TE = 1.94 ms, TI = 900 ms, flip angle = 9°, 3D, 240 sections per slab, section thickness = 0.9 mm, distance factor = 50%, base resolution = 256, phase resolution = 96%, acceleration factor = 2, whole-brain coverage); axial T1 spin-echo (TR = 600 ms, TE = 8.5 ms, flip angle = 90° , 30 sections, section thickness = 5.0 mm, distance factor = 20%, base resolution = 256, phase resolution = 100%, whole-brain coverage); axial T2 FSE (TR = 5410 ms, TE =102 ms, flip angle = 50° , 30 sections, section thickness = 2.0 mm, distance factor = 0%, base resolution = 384, phase resolution = 85%, acceleration factor = 2, whole-brain coverage angled with the anterior/posterior commissure line and centered over the thalami); axial FLAIR (TR = 9000 ms, TE = 110 ms, TI = 2500 msms, flip angle = 180° , 30 sections, section thickness = 5.0 mm, distance factor = 20%, base resolution = 256, phase resolution = 100%, whole-brain coverage); axial SWI (TR = 27 ms, TE = 20 msms, flip angle = 15°, 3D, 104 sections per slab, section thickness = 1.5 mm, distance factor = 20%, base resolution = 256, phase resolution = 95%, acceleration factor = 2, whole-brain coverage); axial and coronal DWI (TR = 6200 ms, TE = 99 ms, 30 sections, section thickness = 5.0 mm, distance factor = 20%, base resolution = 178, phase resolution = 100%, acceleration factor = 2, whole-brain coverage); and axial T1 spin-echo postcontrast $(TR = 600 \text{ ms}, TE = 8.5 \text{ ms}, flip angle} = 90^{\circ}, 30 \text{ sections}, section$ thickness = 5.0 mm, distance factor = 20%, base resolution = 256, phase resolution = 100%, whole-brain coverage).

Data Analysis

Using a mixed model, we assessed whether the imaging pattern observed after treatment depended on the number of sonications, power used for the last sonication, and maximal temperature during the MR imaging—guided focused sonography treatment. Using correlation analysis, we evaluated whether the imaging fea-

tures observed after MR imaging—guided focused sonography treatment for essential tremor were associated with the clinical response to the treatment.

RESULTS

Patients

Fifteen patients (10 men and 5 women; age, 67 ± 8 years) were prospectively enrolled in this trial. Twelve were right-handed, and 3, left-handed. The target of the MR imaging–guided focused sonography treatment was the Vim of the thalamus on the contralateral side of the patient's hand dominance.

Procedures

Patients received an average of 18 sonications (minimum, 11; maximum, 26), with gradual increase in the energy of each sonication. The power used for the last sonication was 845 \pm 243 W (minimum, 600 W; maximum, 1300 W). The duration of the last sonication was between 10 and 16 seconds. The maximal temperature reached during the MR imaging–guided focused sonography treatment was 59 \pm 3°C (minimum, 55°C; maximum, 63°C).

Clinical Outcome

Clinical outcome in the 15 patients enrolled in the trial is reported in Table 1.

Imaging Findings

On T2-weighted imaging, we found a lesion pattern at the site of the focal spot with features similar to those previously found in a

Table 1: Clinical outcome in the 15 patients enrolled in the trial, measured in terms of the Clinical Rating Scale for Tremor^a

<u> </u>				
Time Point	Mean	SD	Minimum	Maximum
Baseline CRST	54.9	14.4	38	87
24-Hour CRST	19.3	10.8	5	43
1-Week CRST	18.5	11.0	6	43
1-Month CRST	18.7	12.0	2	39
3-Month CRST	20.3	11.0	6	37
Outcomes (difference between baseline and 3-month CRST)	34.6	10.4	13	50

^a Lower score is favorable; higher score is unfavorable.

trial of MR imaging—guided focused sonography treatment of neuropathic pain. ¹⁰ There were 3 concentric zones: a hypointense zone I at the center; a strongly hyperintense zone II demarcated by a hypointense rim; and finally, a fuzzy, slightly hyperintense zone III at the periphery (Fig 1). The diameters of zone I and the thicknesses of zones II and III and their evolution with time are reported in Table 2 and Fig 2.

The focused sonography lesion in the axial plane, defined by the outline of zone II, was round in 10 patients, a vertical (anteroposterior) oval in 2 patients, and a horizontal (left-right) oval in 3 patients. In the 3 patients who required anterior repositioning of the focus, the observed shape was a vertical (anteroposterior) oval in 2 and a horizontal (left-right) oval in 1. The lesion had an elongated oval shape along the z-axis.

A cavity had developed in the location of zones I and II by 24 hours in 13 patients and by 1 week in the remaining 2 patients. This cavity collapsed by 1 month in 12 patients and by 3 months in the remaining 3 patients (Fig 3).

The inner 2 zones, zones I and II, showed reduced ADC values $(0.52 \pm 0.18 \times 10^{-3} \text{ mm}^2/\text{s})$ at 24 hours in all patients except 1. Diffusion had pseudonormalized by 1 week in 10 patients and by 1 month in the remaining 5 patients, when the cavity collapsed (Fig 3). T1 shortening in the location of the MR imaging–guided focused sonography lesion was present in 9 patients by 1 month and in 1 additional patient by 3 months posttreatment (Fig 3). Very mild postcontrast enhancement was seen in 6 patients at 24 hours after the MR imaging–guided focused sonography treatment. Enhancement appeared (or re-appeared) by 1 week in 6

Table 2: Diameters of zone I and thicknesses of zones II and III (mean in millimeters) after MR imaging—guided focused ultrasound treatment

T2 Imaging	Zone I	Zone II	Zone III
24 Hours	2.7 ± 1.4	2.2 ± 1.0	3.0 ± 1.1
1 Week	4.0 ± 1.6	1.8 ± 0.8	2.7 ± 1.8
1 Month	2.1 ± 1.4	1.2 ± 0.9	0.1 ± 0.3
3 Months	0.5 ± 0.7	0.1 ± 0.4	0.0 ± 0.0

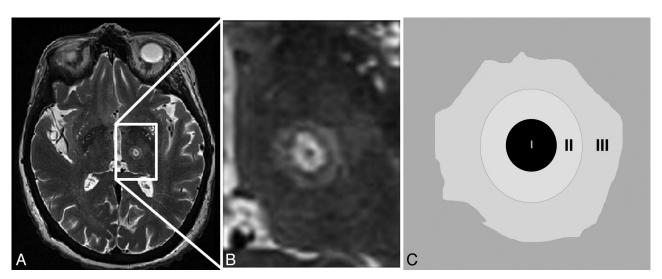


FIG 1. Schematic representation of zones I, II, and III visualized on T2-weighted imaging and the corresponding T2-weighted image of the lesion. On T2-weighted imaging, the patient developed 3 concentric zones at the site of lesioning: a hypointense zone I at the center; a strongly hyperintense zone II demarcated by a hypointense rim; and finally, a fuzzy, slightly hyperintense zone III at the periphery.

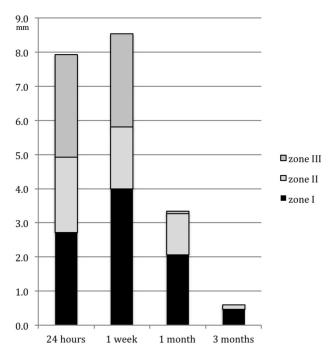


FIG 2. Average diameters of zone I and thicknesses of zones II and III, as seen on T2-weighted imaging, at 24 hours, 1 week, 1 month, and 3 months after the MR imaging–guided focused sonography treatment, all expressed in millimeters.

patients, was present in all patients by 1 month, and had resolved by 3 months in all except 3 patients (Fig 3).

No intracerebral hemorrhage occurred, but blood products responsible for artifacts on susceptibility-weighted imaging were seen within zones I and II at all time points (Fig 3).

Relationship between the Imaging Pattern after Treatment and Characteristics of the MR Imaging–Guided Focused Sonography Treatment

We could not find any significant relationship between the imaging characteristics of the lesions and the number of sonications (*P* values ranging from .13 to .94), the final power (*P* values ranging from .29 to .98), or the maximal temperature reached (*P* values ranging from .13 to .99).

Relationship between the Imaging Pattern after Treatment and the Clinical Response to the MR Imaging–Guided Focused Sonography Treatment

We observed that the total lesion size (including zone III) and the clinical response measured based on the CRST score, especially its part B, evolved inversely compared with each other (coefficient of correlation = 0.29, P value = .02). Tremor control was optimal at 1 week when the lesion size and the perilesional edema were maximal, and it was less at 1 or 3 months when the perilesional edema had resolved and the total lesion size was smaller. On the other hand, adverse effects were most prominent during the first post-operative week and tended to resolve with the resolution of perilesional edema. Lesion collapse did not mean loss of tremor control. In addition, in the 2 patients who were outliers in terms of tremor control (ie, in the 2 patients who responded less well to the treatment), the imaging characteristics were not significantly different from those in the other patients. More specifically, the total

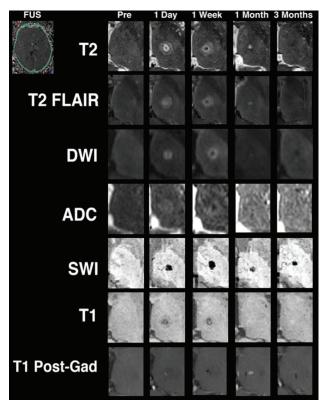


FIG 3. A 76-year-old, right-handed woman with essential tremor treated with MR imaging-guided focused sonography lesioning of the left Vim of the thalamus (thermography image obtained during the treatment is in the left upper corner). On T2-weighted imaging, the patient developed 3 concentric zones at the site of lesioning. Zone II corresponds to vasogenic edema and was seen at 24 hours and 1 week and then resolved. Zones I and II evolved into a round cavity in which diffusion was restricted by 24 hours after treatment. Diffusion pseudonormalized by 1 month when the cavity collapsed. TI shortening was observed at 1 month and 3 months. Very mild enhancement was seen at 24 hours, likely resulting from the reversible alteration of the blood-brain barrier caused by the focused sonography treatment. Enhancement reappeared by 1 week and peaked at 1 month, by then likely representing neoangiogenesis. No major bleed occurred at any time point, but blood products responsible for susceptibility artifacts were seen within zones I and II at all time points.

lesions were not smaller, and these 2 patients did not show less perilesional edema.

DISCUSSION

Typical imaging findings following the MR imaging–guided focused sonography treatment for essential tremor consisted of 3 concentric zones at the site of lesioning. These findings were consistent with those previously reported in a trial of MR imaging–guided focused sonography lesioning of the contralateral thalamus for neuropathic pain. To Zone III was consistent with vasogenic edema^{26,27}; it was typically seen at 24 hours and 1 week and then resolved. This appearance of lesions and their evolution with time was similar to the imaging findings observed for stereotactic radiofrequency thalamotomies but quite different from those observed with gamma knife radiosurgery. Direct comparison between the different treatment modalities in terms of imaging is available in pigs, and further studies comparing MR imaging–guided focused sonogra-

phy with radiofrequency ablation should be conducted in humans.

Zones I and II showed restricted diffusion at 24 hours that pseudonormalized by 1 week or 1 month, when the cavity developing in the location of zones I and II collapsed. Therefore, zones I and II likely represent areas of coagulation necrosis and cytotoxic edema.^{8,26}

T1 shortening at the site of lesioning was seen in a little more than half of patients, typically starting at 1 month. Very mild enhancement was seen at 24 hours, likely resulting from the reversible alteration of the blood-brain barrier caused by the focused sonography treatment. 30 This alteration of the blood-brain barrier typically happens within the first 24 hours and then resolves, 31 explaining that enhancement was captured at 24 hours in only 6 patients. Enhancement reappeared by 1 week and peaked at 1 month. Further investigation in animal models is needed to elucidate the exact nature of this enhancement, which might be related to neovascularization.³² Of note, in the previous application of MR imaging-guided focused sonography for neuropathic pain syndromes, 10 enhancement was seen at 24 hours and had resolved by 48 hours. Patients were not imaged beyond 48 hours. As such, the 1-month enhancement we are reporting could not be assessed in the neuropathic pain

The focused sonography lesion was round or a horizontal or vertical oval. In patients in whom the focus was repositioned, the lesion was oval; however, the oval shape was also observed in patients for whom the focus was not repositioned.

We found a relationship between the size of the lesion on imaging and the response of the tremor to the treatment, suggesting that tremor control is influenced not only by the lesion size and the amount of perilesional edema but also, most likely, by lesion location.

As a limitation to our study, we acknowledge the limited sample size of our study population. The lack of significance of most of our analyses may reflect the fact that our study was underpowered. Further studies with larger sample size are needed for additional characterization of imaging findings in MR imaging—guided focused ultrasound treatment.

CONCLUSIONS

High-intensity focused sonography can accurately produce controlled heating to a precise intracranial target, with typical imaging findings seen in the days, weeks, and months following the treatment. Tremor control was optimal early when the lesion size and perilesional edema were maximal and was less later when the perilesional edema had resolved and the total lesion size was smaller. Further studies should be conducted to better understand the value of imaging findings either as a guidance for improving the treatment procedure or as a prediction for long-term clinical outcome.

Disclosures: Max Wintermark—RELATED: Grant: Focused Ultrasound Surgery Foundation*; UNRELATED: Board Membership: Bayer,* St. Jude Medical*; Grants/Grants Pending: GE Healthcare,* Philips Healthcare.* Johanna J. Loomba—RELATED: Grant: Focused Ultrasound Surgery Foundation,* Comments: This nonprofit provided institutional grant funding for the ET001 study. Eyal Zadicario is an employee of InSightec Ltd. Neal Kassell is a founding member of the board of the Focused Ultrasound Surgery Foundation, as well as a director and shareholder of InSightec Ltd.

RELATED: Board Membership: InSightec. William Jeff Elias receives research support from the Focused Ultrasound Surgery Foundation for clinical and preclinical studies,* as well as an honorarium for serving on the movement disorder steering committee of the Focused Ultrasound Surgery Foundation. *Money paid to the institution.

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