Effect of Intracranial Atherosclerotic Disease on Endovascular Treatment for Patients with Acute Vertebrobasilar Occlusion


AJNR Am J Neuroradiol 2016, 37 (11) 2072-2078
doi: https://doi.org/10.3174/ajnr.A4844
http://www.ajnr.org/content/37/11/2072
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ABSTRACT

BACKGROUND AND PURPOSE: Although intracranial atherosclerotic disease is often encountered during endovascular treatment for acute vertebrobasilar occlusions, its clinical implication is not well-known. We aimed to evaluate whether intracranial atherosclerotic disease influences the clinical outcomes following endovascular treatment of acute vertebrobasilar occlusive stroke.

MATERIALS AND METHODS: Fifty-one patients with acute vertebrobasilar occlusive stroke were included. The onset-to-groin puncture time was ≤12 hours, and aspiration- or stent-based thrombectomy was used as the primary treatment method. Following primary endovascular treatment, intracranial atherosclerotic disease (IAD group) was angiographically diagnosed when a fixed focal stenosis was observed at the occlusion site, whereas embolism (embolic group) was diagnosed if no stenosis was observed. Clinical and treatment variables were compared in both groups, and IAD was evaluated as a prognostic factor for clinical outcomes.

RESULTS: The baseline NIHSS score tended to be lower (14 versus 22, P = .097) in the IAD group (n = 19) than in the embolic group (n = 32). The procedural time was longer in the IAD group (96 versus 61 minutes, P = .002), despite similar rates of TICI 2b–3 (89.5% versus 87.5%, P = 1.000). The NIHSS score at 7 days was higher (21 versus 8, P = .060) and poor outcomes (mRS 4–6 at 3 months) were more frequent in the IAD group (73.7% versus 43.8%, P = .038). IAD (odds ratio, 5.469; 95% CI, 1.09–27.58; P = .040) was independently associated with poor outcomes.

CONCLUSIONS: An arterial occlusion related to IAD was associated with a longer procedural time and poorer clinical outcome. Further studies are warranted to elucidate the appropriate endovascular strategy.

ABBREVIATIONS: FAST = forced arterial suction thrombectomy; IAD = intracranial atherosclerotic disease

Acute vertebrobasilar occlusive stroke is a condition with high morbidity and mortality. With the advancement of endovascular treatment, the prognosis of acute ischemic stroke due to intracranial large-artery occlusion has improved.2–8 Recently, successful clinical trials of endovascular treatment have been conducted, but these studies have mostly targeted anterior circulation occlusions.4–8 Evidence of endovascular treatment for posterior circulation occlusions is still lacking, though a few recent studies have shown better clinical outcomes compared with previous results.9,10

Underlying stenosis due to intracranial atherosclerotic disease (IAD) is often encountered during endovascular treatment for acute intracranial large-artery occlusion. Regarding the pathomechanism of stroke, in situ thrombotic occlusions may occur in addition to hemodynamic compromise due to stenosis.11–13 This IAD-related occlusion causes acute ischemic stroke and was reported to be more frequent in the posterior circulation than in the anterior circulation.14 According to previous literature, the prognosis of acute vertebrobasilar occlusions has been associated with various factors, including the initial severity of neurologic deficits, time to treatment, location of the occlusion, degree of collaterals, treatment modalities, and timely reperfusion.1,10,11,15–18 Nevertheless, to our knowledge, IAD...
has not been evaluated as a prognostic factor, especially in posterior circulation occlusions.

In the present study, we evaluated whether IAD influences the clinical outcome following endovascular treatment of acute ischemic stroke caused by vertebrobasilar occlusion. We compared the interventional factors and clinical outcome between IAD-related and embolic occlusions.

**MATERIALS AND METHODS**

**Patients**

From consecutive patients with acute ischemic stroke admitted to 2 Korean university hospitals between March 2009 and March 2014, we retrospectively reviewed and enrolled those who met the following criteria: 1) patients who showed acute neurologic symptoms attributable to intracranial vertebral and/or basilar artery occlusion identified by CTA or MRA, 2) time from onset of symptoms to groin puncture of ≤12 hours, and 3) endovascular treatment by using the forced arterial suction thrombectomy (FAST) method with a Penumbra reperfusion catheter (Penumbra, Alameda, California) or stent-based thrombectomy as the primary treatment. Patients were excluded if reperfusion was never observed, because both angiographic etiologies cannot be differentiated; or another etiology of stroke, including vasculitis, arterial dissection, or Moyamoya disease, was diagnosed by transfemoral cerebral angiography. The institutional review board of both hospitals approved this study, and informed consent was obtained from patients and/or their caregivers.

Using transfemoral cerebral angiography, we diagnosed IAD when a significant fixed focal stenosis was observed at the occlusion site during endovascular treatment or final angiography (Fig 1A–D). Significant stenosis was defined according to the following criteria: 1) degree of fixed stenosis of ≥70%,19 or 2) moderate stenosis with either flow and perfusion impairment on angiography or an evident tendency toward reocclusion,13 even after sufficient primary endovascular treatment was performed. Underlying IAD can be observed from partial recanalization spontaneously or after intravenous thrombolytic treatment, but these cases were excluded in this study because primary thrombectomy devices for intracranial occlusions were allowed. An embolism was diagnosed if no stenosis was observed with sufficient blood flow and without a reocclusion tendency (Fig 1E–H). The steps used to diagnose IAD in an occlusion during endovascular treatment are summarized in Fig 2. Patients were classified into the IAD or embolic group, and the clinical and treatment variables were compared.

**Acute Stroke Management Protocol and Endovascular Procedure**

The initial neurologic examination, which included the NIHSS, was performed in the emergency department. Nonenhanced brain CT was performed to evaluate brain parenchyma and to exclude intracerebral hemorrhage, while CTA or MRA was used to assess arterial occlusions. In terms of intravenous thrombolysis, recombinant tissue plasminogen activator was administered to patients within a 3-hour (until December 2012) or 4.5-hour window (from January 2013) according to the stroke guidelines.20 Additionally, endovascular treatment was used in the following cases: 1) a baseline NIHSS score of ≥4, 2) baseline modified Rankin Scale score of ≥2, 3) the presence of vertebrobasilar occlusion on CTA or MRA, 4) no intracerebral hemorrhage on brain CT, and 5) groin puncture time of ≤12 hours from the onset of symptoms.
First, a guide catheter was positioned from the femoral artery to the distal V2 segment of the dominant vertebral artery. In most cases, we used a conventional access device such as the Shuttle-SL guide sheath (Cook, Bloomington, Indiana) or Envoy (Codman & Shurtleff, Raynham, Massachusetts), and not a balloon catheter, for posterior circulation treatment. Then, the neurointerventionists decided to perform a mechanical thrombectomy by using a Penumbra reperfusion catheter (041/4MAX/3MAX; Penumbra) or a stent retriever (Solitaire AB/FR; Covidien, Irvine, California) as the primary strategy. For the FAST method, the Penumbra reperfusion catheter was placed at the proximal end of the clot. After wedging the clot into the catheter tip for several minutes, we withdrew the catheter gently while maintaining a negative pressure by using a 50-mL syringe. In case of stent retrieval, a microcatheter was advanced through the occluded segment, and selective angiography was performed distal to the clot to confirm the landing zone of the stent retriever. The microcatheter was placed about 1 cm beyond the distal margin of the clot, and the stent retriever was deployed for several minutes. The stent retriever and microcatheter were drawn back into the guide catheter under remote aspiration through the guide catheter by suction by using a 50-mL syringe.

These procedures were repeated until successful reperfusion. If reperfusion was not achieved or the occlusion was sustained, we applied rescue treatments, including the switching strategy, angioplasty, stent placement, and intra-arterial tirofiban infusion, with consensus from the neurointerventionalist and neurologist. Follow-up angiography was performed 10–20 minutes after recanalization. If there were no changes or improvement of flow between the angiographic runs, the endovascular procedure was completed. However, if flow impairment or reocclusion occurred, we performed additional procedures, including repetitive mechanical thrombectomy or rescue treatments.

Clinical and Radiographic Evaluation

Data regarding the patient demographics, laboratory findings, imaging findings, and time intervals (ie, the symptom onset-to-door time, door-to-groin puncture, and groin puncture to reperfusion) were collected. The procedural time was defined from the groin puncture to reperfusion. The NIHSS scale was assessed at baseline, on day 7, or at discharge if the patient was discharged earlier than day 7. The clinical outcome was assessed by using the mRS at 3 months, which was classified as favorable (mRS score, 0–3) or poor (mRS score, 4–6). Neurologists and neuroradiologists from each hospital independently reviewed the radiologic data of their own hospital while blinded to the clinical information.

Locations of the occlusions were defined as follows: 1) the intracranial vertebral artery (limited to a single vertebral artery), from the V4 segment of the vertebral artery to below the vertebrobasilar junction; 2) the proximal basilar artery, from the vertebrobasilar junction to the anterior inferior cerebellar artery level; 3) the middle basilar artery, from the anterior inferior cerebellar artery to the superior cerebellar artery level; and 4) the distal basilar artery, from the superior cerebellar artery to the basilar artery top level. The presence of any posterior communicating artery was also recorded to assess the collateral flow.

The status of reperfusion was measured by using a TICI score. Successful reperfusion was defined as a TICI score of 2b or 3. All patients underwent a follow-up nonenhanced brain CT scan 1 day after endovascular treatment. An intracerebral hemorrhage was classified according to the second European-Australasian Acute Stroke Study classification, and symptomatic intracerebral hemorrhage was defined as any hemorrhage associated with an NIHSS score increase of ≥4 within 24 hours.

Statistical Analysis

Statistical analyses were performed by using SPSS for Windows (Version 20.0; IBM, Armonk, New York). The $\chi^2$ test or Fisher exact test was used for frequency comparisons, and the independent $t$ test and Mann-Whitney U test were used for continuous and nonparametric variables, respectively. Binary logistic regression analysis was performed to confirm whether IAD was an independent predictor of poor outcome at 3 months. For this analysis, age, the baseline NIHSS score, the absence of intravenous rtPA, onset-to-reperfusion time, failed revascularization, and the
absence of a collateral posterior communicating artery were adjusted. A P value < .05 was considered statistically significant.

RESULTS

Of 55 enrolled patients, 4 were excluded for the following reasons: Three had an undetermined etiology related to no angiographic reperfusion, and one had vertebral artery dissection (Fig 3). Finally, 51 patients were included. IAD accounted for 37.3% (n = 19) of patients, whereas an embolism accounted for 62.7% (n = 32). The baseline demographics were similar between the 2 groups (Table 1). A trend toward lower baseline NIHSS scores was observed in the IAD group compared with the embolic group (median, 14 versus 22; P = .097). Among the stroke risk factors, atrial fibrillation was more prevalent in the embolic group (10.5% versus 40.6%, P = .023). The location of the occlusion on CTA was different between the 2 groups. Occlusions in the middle basilar artery were more frequent in the IAD group (38.5% versus 8.3%), whereas occlusions in the distal basilar artery (15.4% versus 87.5%) were more frequent in the embolic group (overall, P < .001). The incidence of intravenous rtPA administration was higher in the embolic group than in the IAD group (21.1% versus 62.5%, P = .004). The onset-to-groin puncture time did not differ between the IAD and embolic groups (310 ± 137 minutes versus 263 ± 146 minutes, P = .258).

The rate of successful reperfusion did not differ between groups (89.5% versus 87.5%, P = 1.000). The frequency of primary endovascular treatment methods also did not differ; the FAST method with a Penumbra reperfusion catheter was most commonly used (78.9% versus 84.4%, P = .711). The procedural time was significantly longer in the IAD group than in the embolic group (mean, 96.42 ± 46.03 minutes versus 61.16 ± 31.84 minutes; P = .002). During the endovascular procedure, reocclusion after reperfusion of the target vessel occurred more frequently in the IAD group (57.9% versus 15.6%, P = .002), and this group required rescue treatment more frequently (68.4% versus 18.8%, P < .001). Between the IAD and embolic groups, we used the following rescue treatments: conversion to another mechanical thrombectomy device (16.7% versus 6.2%, respectively; P = .348), angioplasty (31.6% versus 12.5%, respectively; P = .146), stent placement (31.6% versus 12.5%, respectively; P = .146), and the administration of intra-arterial tirofiban (31.6% versus 0%, respectively; P = .002). Four patients in the embolic group had extracranial-to-intracranial tandem occlusions. Detailed information on the angiographic and clinical outcomes is presented in Table 2.
The NIHSS score at 7 days tended to be higher (median, 21 versus 8; \( P = .060 \)), and a favorable outcome at 3 months was less frequent in the IAD group compared with the embolic group (26.3% versus 56.2%; \( P = .038 \); Fig 4). In the IAD group, 50% of patients had an mRS score of 5 compared with 13% in the embolic group; however, the mortality rate did not differ between groups (21.1% versus 21.9%, respectively; \( P = 1.000 \)). Hemorrhagic complications also did not differ. By multiple logistic regression analysis, IAD (odds ratio, 5.469; 95% confidence interval, 1.085–27.580; \( P = .040 \)) was an independent predictor of a poor outcome (Table 3).

**DISCUSSION**

In the current study, we achieved an overall successful reperfusion rate of 83.3%. In addition, 45.1% of the clinical outcomes were favorable, and the mortality rate was 21.6% after endovascular treatment of acute vertebrobasilar occlusions. These outcomes were comparable with those in previous studies.\(^\text{17,27}^\) However, the outcomes were distinctive when occlusions were divided according to angiographically defined classifications (ie, IAD-related and embolic occlusions). In our analysis, IAD was an independent risk factor for poor outcomes.

IAD-related occlusions were frequent in our population. In the current study, IAD accounted for about 40% of the acute vertebrobasilar occlusions. This incidence is much higher than that in the Western population, in which intracranial stenosis was observed in only 5.5% of patients with stent-retriever thrombectomy.\(^\text{28}^\) On the contrary, intracranial stenosis was observed in 22.9% of patients with multimodal endovascular treatment in a Korean study.\(^\text{29}^\) IAD may be more frequent in the posterior circulation due to acute ischemic stroke, as described in Fig 2. The first step was to exclude other uncommon etiologies such as dissection, Moyamoya disease, and vasculitis.\(^\text{14}^\) Transfemoral cerebral angiography before endovascular treatment can show these uncommon etiologies because it is the criterion standard. The second step is to rule out pure embolism, which can be confirmed by primary thrombectomy endovascular treatment. If full recanalization without remnant stenosis is achieved at the occlusion site, the occlusion is thought to be due to a distant embolism. Because we do not need to consider additional therapy in the angiography suite, whether the embolic mechanism was unclear is irrelevant. If a focal fixed stenosis is sustained, interventionalists should consider whether IAD may be hidden in the occlusion.

The third step is to confirm whether the stenosis is significant. If severe stenosis is still observed after a repeat primary thrombectomy, IAD must be present. Usually, a stenotic degree of >70% is considered a significant risk factor for the recurrence of ischemic stroke.\(^\text{19}^\) A stenotic degree of <70% but with a stenotic lesion that has been repeatedly occluded or a flow that is not fluent is also considered a significant stenosis.\(^\text{13}^\) The fourth step is to wait 10–20 minutes after recanalization. We can assess reocclusion and differentiate the iatrogenic vessel injury or vasospasm. By following these steps, we can define the underlying

![FIG 4. Modified Rankin Scale score at 3 months for each group. A favorable outcome is significantly less frequent in the intracranial atherosclerotic disease group compared with the embolic group (mRS, 0–3; 26.3% versus 56.2%; \( P = .038 \)).](image)

<table>
<thead>
<tr>
<th>Variables</th>
<th>For Predicting mRS 4–6</th>
<th>( \text{OR (95% CI)} )</th>
<th>( P ) Value</th>
<th>For Predicting mRS 3–6</th>
<th>( \text{OR (95% CI)} )</th>
<th>( P ) Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age</td>
<td>1.036 (0.972–1.105)</td>
<td>.275</td>
<td></td>
<td>1.023 (0.947–1.105)</td>
<td>.568</td>
<td></td>
</tr>
<tr>
<td>Baseline NIHSS</td>
<td>1.060 (0.976–1.151)</td>
<td>.164</td>
<td></td>
<td>1.049 (0.956–1.151)</td>
<td>.316</td>
<td></td>
</tr>
<tr>
<td>Intravenous rtPA, not infused</td>
<td>1.818 (0.456–7.247)</td>
<td>.397</td>
<td></td>
<td>6.065 (0.998–36.860)</td>
<td>.050</td>
<td></td>
</tr>
<tr>
<td>IAD</td>
<td>5.469 (1.085–27.580)</td>
<td>.040</td>
<td></td>
<td>8.738 (0.960–79.570)</td>
<td>.054</td>
<td></td>
</tr>
<tr>
<td>Onset-to-reperfusion time</td>
<td>0.999 (0.994–1.003)</td>
<td>.593</td>
<td></td>
<td>0.995 (0.988–1.002)</td>
<td>.179</td>
<td></td>
</tr>
<tr>
<td>Failed revascularization (TICI 0–2a)</td>
<td>8.531 (0.724–100.580)</td>
<td>.089</td>
<td></td>
<td>5.711 (0.100–108.677)</td>
<td>.246</td>
<td></td>
</tr>
<tr>
<td>Absence of the collateral PcomA</td>
<td>1.330 (0.210–8.444)</td>
<td>.762</td>
<td></td>
<td>2.316E + 9 (0.000 to −1.797e + 308)</td>
<td>.999</td>
<td></td>
</tr>
</tbody>
</table>

Note:—PcomA indicates posterior communicating artery.
IAD, which should exclude uncommon etiologies and procedural complications. During endovascular treatment, transfemoral cerebral angiography can be performed in real-time to determine whether there is an underlying IAD.

Various factors in IAD-related occlusions seemed to have affected the outcome. First, the location possibly affected it. Baseline CTA findings highlighted differences in the location of the occlusions. In accordance with prior studies, the most common location of occlusions was the proximal and middle basilar artery in the IAD group and the distal basilar artery in the embolic group. Additionally, the location of the occlusion is associated with the prognosis. For instance, an occlusion of the proximal and middle basilar artery, which serves most of the pons, can be related to extensive ischemia of the pons, leading to a fatal condition such as locked-in syndrome.

In the Stenting vs Aggressive Medical Management for Preverting Recurrent Stroke in Intracranial Stenosis study, the basilar artery trunk was associated with poor outcomes because those procedures may disturb the blood flow of basilar perforators. In the IAD group of our study, >50% of patients had an mRS score of 5, and the locked-in syndrome can be associated with such disabilities.

Second, depending on the angiographic classification, the endovascular procedure differed. The IAD group required a longer procedural time than the embolic group, despite a similar final reperfusion rate. Frequent arterial recurrences that required repetitive thrombectomy procedures and additional rescue treatments were attributed to the longer procedural duration. Theoretically, endovascular treatment by using mechanical thrombectomy with FAST and a stent retriever can remove a thrombus in the IAD lesion. In addition, the IAD lesion must have factors such as plaque disruption, endothelial damage or irritation, or a local platelet activation-prone situation, which causes frequent acute arterial reclosure during endovascular treatment. In the current study, 68.4% of the IAD group required rescue treatment for reocclusion.

This study has several potential limitations. First, it was retrospective in nature, though the data were prospectively registered at each hospital. This study was intended for patients who were undergoing endovascular treatment; because the enrolled patients were not randomized and were limited to achieving recanalization, some selection bias may exist. Nonetheless, only 3 patients were excluded due to reperfusion failure, so its effect on the analyses was probably minor. Second, there may be confounding factors, including the choice of rescue treatment and management strategies after endovascular treatment, because the data were collected from 2 different university hospitals. Third, the radiologic definition system differentiating IAD-related and embolic occlusions should be further validated. Although we have focused on the usage of this system for hyperacute treatment and have done our best to gather expert opinions, there are still weaknesses. We do not know what happened in patients whose occluded arteries were never recanalized. In addition, about 10% of patients with atrial fibrillation were still present in the IAD group. Finally, this study did not provide a good strategy for endovascular treatment of IAD-related occlusions in the posterior circulation. Therefore, further studies are warranted to determine a better strategy.

CONCLUSIONS

In patients with acute vertebrobasilar stroke who underwent endovascular treatment, IAD-related arterial occlusion was associated with a complicated endovascular procedure and poor clinical outcomes despite successful reperfusion.


REFERENCES