Long-Term Effectiveness of Direct CT-Guided Aspiration and Fenestration of Symptomatic Lumbar Facet Synovial Cysts


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ABSTRACT

BACKGROUND AND PURPOSE: Lumbar facet synovial cysts are commonly seen in facet degenerative arthropathy and may be symptomatic when narrowing the spinal canal or compressing nerve roots. The purpose of this study was to analyze the safety, effectiveness, and long-term outcomes of direct CT-guided lumbar facet synovial cyst aspiration and fenestration for symptom relief and for obviating an operation.

MATERIALS AND METHODS: We retrospectively reviewed the medical records and imaging studies of 64 consecutive patients between 2006 and 2016 who underwent 85 CT-guided lumbar facet synovial cyst fenestration procedures in our department. We recorded patient demographics, lumbar facet synovial cyst imaging characteristics, presenting symptoms, change in symptoms after the procedure, and whether they underwent a subsequent operation. We also assessed long-term outcomes from the medical records and via follow-up telephone surveys with patients.

RESULTS: Direct CT-guided lumbar facet synovial cyst puncture was technically successful in 98% of procedures. At first postprocedural follow-up, 86% of patients had a complete or partial symptomatic response. During a mean follow-up of 49 months, 56% of patients had partial or complete long-term relief without the need for an operation; 44% of patients underwent an operation. Patients with calcified, thick-rimmed, or low T2 signal intensity cysts were less likely to respond to the procedure and more likely to need an operation.

CONCLUSIONS: CT-guided direct lumbar facet synovial cyst aspiration and fenestration procedures are safe, effective, and minimally invasive for symptomatic treatment of lumbar synovial facet cysts. This procedure obviates an operation in a substantial number of patients, even at long-term follow-up, and should be considered before surgical intervention.

ABBREVIATION: LFSC = lumbar facet joint synovial cyst

Lumbar facet joint synovial cysts (LFSCs) are synovial lined outpouchings that arise from the facet joint capsule.1,2 While LFSCs may occur at any lumbar level, they most commonly occur at the L4–L5 facets in degenerative facet arthropathy.1,3-5 LFSCs have been reported to be present in 0.7%–2.0% of lumbar cross-sectional imaging studies.6,7 On imaging, LFSCs are well-circumscribed masses contacting or in immediate proximity to the facet joint. Cysts typically demonstrate a thin or thick hypointense signal rim on MR imaging. The internal cyst signal is most commonly T1 hypointense and T2 hyperintense, though these features can be variable on the basis of intracystic protein content, blood products, calcification, or gas.5,6

LFSCs may present with radiculopathy secondary to inflammation or encroachment on nerve roots within the spinal canal or neural foramina or with axial low back pain when arising as a sequela of facet disease. Traditional management consists of laminectomy and surgical resection of the cysts, but in segmental instability or symptomatic spondylolisthesis, facetectomy and posterior spinal fusion are also required.8,9 Fluoroscopically guided cyst inflation and indirect rupture have been described as a minimally invasive treatment for symptomatic LFSCs with variable outcomes (23%–72% success rates) and follow-up periods (6–44 months).5,7,10-14 More recently, direct CT-guided LFSC injection and rupture in a small number of patients have also been described for nonsurgical management.15

The aim of our study was to evaluate the effectiveness of direct CT-guided aspiration and fenestration of LFSCs by assessing short-term postprocedural symptom relief and whether an operation could be avoided during long-term follow-up. As a second-
ary objective, we sought to determine whether the patient’s pre-
senting symptoms, LFSC imaging characteristics, or injection
technique could be used to predict response.

MATERIALS AND METHODS

Study Design

The institutional review board approved our retrospective review
of patients who underwent CT-guided LFSC aspiration and fen-
estration during a 10-year period from 2006 to 2016. Patients
were identified using a search of our radiology information sys-
tem. We performed a detailed medical record review and re-
corded patient demographics, presenting symptoms, change in
symptoms at initial follow-up, and whether an operation was per-
formed during the 6-month postprocedural interval. Addition-
ally, we reviewed the most recent preprocedural MR imaging to
identify the level of the LFSC, determine its size using the largest
diameter on either sagittal or axial images, and record its T2-
signal characteristics. We also recorded CT characteristics of the
cyst from images obtained during the procedure. For those pa-
tients in whom a postprocedural MR imaging or CT was available, we also
noted whether the cyst persisted or re-
curred. Finally, we assessed long-term
outcomes as documented in the pa-
tient’s medical record and via a fol-
low-up telephone survey.

Cyst Aspiration and Perforation

Technique

All CT-guided LFSC aspiration and fen-
estration procedures were performed at
our outpatient imaging center by 1 of 6
neuroradiologists experienced in spine
intervention (range of experience, 3–34
years; mean, 13 years). The patient was
placed in the prone position, and limited
low-dose CT of the lumbar spine was
performed for procedural planning. The
overlying skin was marked, prepped,
and draped using an aseptic technique.
Local anesthesia was achieved with lidoc-
aeine 1% buffered with 8.4% sodium bi-
carbonate. Moderate conscious sedation
was provided for most procedures. In-
termittent low-dose CT was used for
needle guidance. In all except 8 proce-
dures, the parent facet joint was first ac-

FIG 1. Successful CT-guided cyst puncture, aspiration, and fenestration. A, Axial T2-weighted MR
image demonstrates bilateral L4–5 facet synovitis and a thin-rimmed T2-hyperintense cyst arising
from the left L4–5 facet joint. B, Intraprocedural CT image shows contrast opacification of the
cyst via injection into a 22-ga spinal needle placed within the left L4–5 facet joint (step 1). A
second 22-ga spinal needle has been advanced coaxially into the cyst via a translaminar approach
(step 2). C, CT image obtained after cyst aspiration and repeat fenestration demonstrates suc-
cessful cyst perforation with leakage of contrast into the epidural space (arrow, step 3). D,
Follow-up MR imaging shows resolution of the treated cyst.
Statistical Analysis

For analysis, patients were categorized as responders (those with partial or complete symptom relief) or nonresponders (those with no change or exacerbation of symptoms based on their first postprocedural visit with referring physicians). We tested for differences between the responders and nonresponders using the $t$ test for LFSC size and patient age and the $\chi^2$ test for sex, presenting symptoms, imaging characteristics, and injection technique. Regarding imaging characteristics, we considered cyst level, degree of canal stenosis, T2 signal intensity, and the presence of cyst calcification. $P$ values of $<.05$ were considered statistically significant and $P$ values of $\approx .05$ and $\leq .1$ indicated a trend toward significance. Statistical analysis was performed by using StATA data analysis and statistical software (StataCorp, College Station, Texas).

RESULTS

Study Population

During the 10-year study period, 64 patients underwent CT-guided LFSC aspiration and fenestration and were included in this investigation. The study population included 22 men and 44 women with an average age of 61 years (range, 36–86 years). Most patients presented with radiculopathy (46%) or radiculopathy with axial low back pain (48%). Three (5%) patients had a prior operation for LFSC resection and presented with recurrent symptomatic cysts. There were no significant associations between a successful outcome and age, sex, or symptoms at presentation ($P > .1$) (Table 1).

Imaging Characteristics

The mean LFSC size was 10 mm (range, 5–21 mm). The most commonly treated level of LFSC was L4–L5 (38%). There was no significant difference in the cyst size, level, or degree of central canal stenosis between the responders and the nonresponders or between those patients who required a subsequent operation and those managed conservatively ($P > .1$) (Tables 2 and 3).

Preprocedural MRIs were available for review in 60 (94%) patients. Most (67%) patients had cysts that were predominantly T2 hyperintense with a thin T2-hypointense rim. The remaining patients had T2-hypointense cysts with a thick T2-hypointense rim (30%) or cysts that were predominantly T2 hypointense (3%). On CT, 28% of cysts were partially or completely calcified. Patients with thin-rimmed T2-hyperintense or noncalcified cysts were more likely to have a favorable response to the procedure than those patients with thick-rimmed, hypointense, or calcified cysts ($P < .05$) (Table 2). Patients with calcified cysts were more likely to have required an operation at long-term follow-up than patients with noncalcified cysts ($P = .003$, OR $= 6.5$) (Table 3). There was a trend toward a greater need for surgical management in patients with thick-rimmed or hypointense cysts as opposed to those with thin-rimmed T2-hyperintense cysts ($P = .07$).

Postprocedural MR imaging or CT studies were available in 26 (41%) patients. In most (77%) of these patients, the treated cyst was noted to be smaller or had resolved; in 5 patients (19%) the cyst was unchanged, and in 1 patient (3.9%) the treated cyst was noted to have increased in size.

Injection Technique and CT Fenestration Success

Direct CT-guided LFSC puncture and fenestration were technically successful in 81 (98%) procedures performed without complications. In 1 patient, the calcified nature of bilateral L5–S1 cysts precluded direct puncture (Fig 2). In another, a foraminal synovial cyst could not be successfully accessed due to overlying bone. Most (94%) calcified cysts, however, were successfully fenestrated. Facet joint injections were performed before direct cyst fenestration in most of these patients. The ipsilateral facet joint was injected in 56 (88%) patients to opacify the LFSC and to treat underlying cysts ($P < .05$) (Table 2). Patients with calcified cysts were more likely to have required an operation at long-term follow-up than patients with noncalcified cysts ($P = .003$, OR $= 6.5$) (Table 3). There was a trend toward a greater need for surgical management in patients with thick-rimmed or hypointense cysts as opposed to those with thin-rimmed T2-hyperintense cysts ($P = .07$).
lying facet arthropathy. The average volume injected in each facet joint was 1.2 ± 0.9 mL. Concurrent, interlaminar, or transfemoral epidural steroid injections were performed in 54 (84%) patients to treat associated radiculopathy. There was no significant association between a successful outcome (or subsequent need for an operation) and concurrent facet or epidural steroid injection or needle gauge used during the procedure \( (P > .1) \).

**Patient Outcomes**

Postprocedure outcome data at first clinical follow-up were available in 61 (95%) patients. Long-term follow-up data were available for 57 (89%) treated patients. Mean long-term follow-up was 49 months (range, 2–136 months; median, 44 months). At first postprocedural clinical follow-up, 55 (86%) patients had a partial or complete symptomatic response to CT-guided LFSC aspiration and fenestration. Conversely, 4 (6%) patients had no change in symptoms while 2 (3%) patients reported mild worsening of pain. There were no procedure-related complications. Seventeen (27%) patients underwent repeat procedures for recurrent symptoms, though they were satisfactorily managed by repeat percutaneous fenestration in two-thirds of cases. Most (76%) of the repeat patients underwent 1 additional cyst-fenestration procedure; 4 patients required 2 additional cyst-fenestration procedures. Three patients who required repeat procedures had multiple cysts. Two patients underwent repeat cyst fenestration for cysts that recurred after surgical resection. There were no significant differences in imaging features of cysts in patients who required repeat procedures and those who did not \( (P > .1) \) for cyst size, calcification, T2 signal, level of stenosis, and follow-up MR imaging findings.

Within 6 months following CT-guided LFSC treatment, 18 (30%) patients underwent an operation for either persistent or recurrent symptoms. At long-term follow-up, 25 (44%) patients underwent an operation for recurrent symptoms. Patients who needed repeat CT procedures for symptomatic relief were more likely to require an operation at long-term follow-up \( (P = .007) \). Three patients needed repeat surgery for recurrent symptoms that could not be managed conservatively. Most of the patients who required surgical management for symptom relief underwent minimally invasive decompression (laminctomy or laminotomy) and cyst resection (61%), and only 39% of patients had fusion. The 3 patients who had repeat surgery required fusion. No cyst recurrence was noted in fused patients. The mean time between the CT-guided cyst aspiration procedure and the first operation was 11 ± 20 months.

**Telephone Follow-Up**

Our institutional review board approved patient telephone contact to obtain follow-up data. Patients were contacted by the first author (V.N.S.), and explicit voluntary consent was obtained before administering a brief telephone survey. Patients who agreed to participate were asked to state their pain scores before and after the procedure, comment on the duration of pain relief, report if they had an operation for the cyst, and were asked if they thought the CT-guided procedure was successful. Forty (63%) treated patients were contacted by telephone, and all agreed to participate in the survey, at a mean follow-up of 43 months (range, 2–136 months). Seventy-eight percent thought that the CT-guided cyst aspiration procedure was successful. Eighty-seven percent would recommend the procedure to others. The mean numeric rating score of worst pain before the CT aspiration procedure on a scale of 0–10 was 8.2 ± 1.7. The mean numeric rating score at long-term follow-up was 1.6 ± 2.2. There was no significant difference between preprocedural and long-term follow-up numeric rating scores between patients who underwent an operation and those who only underwent the CT-guided fenestration procedure \( (P > .1) \).

**DISCUSSION**

Our study describes a novel CT-guided, minimally invasive technique for managing symptomatic LFSCs as an alternative for surgical resection with a technical success rate of 98% and no procedural complications. Most (86%) patients in our study had at least a partial response at short-term follow-up. More than half (56%) of our patients did not need an operation at long-term follow-up. Most of the patients who were contacted via phone at long-term follow-up thought the procedure was a success.

In the spine, synovial cysts arise as outpouchings of synovial tissue from the facet joint capsule, which may be symptomatic when located within the spinal canal or neural foramina. Facet joint cysts are more common in the lumbar regions and usually arise at the L4–L5 level.\(^1\)\(^-\)\(^5\) Previous authors have postulated that the relatively increased mobility, propensity for degenerative facet arthropathy, and spondylolisthesis at L4–L5 account for the higher frequency of LFSCs.\(^4\)\(^-\)\(^7\)\(^,\)\(^13\) On imaging, LFSCs are well-circumscribed masses contacting or in immediate proximity to the facet joint. Cysts typically demonstrate a thin or thick hypointense signal rim on MR imaging. Internal cyst signal is most commonly T1 hypointense and T2 hyperintense, though these features can be variable on the basis of intracystic protein content, blood products, calcification, or gas.\(^5\)\(^,\)\(^6\)
Percutaneous Management: Fluoroscopy versus CT Guidance

Minimally invasive, image-guided, management of LFSCs has previously been described. Fluoroscopically guided treatments that have been reported include intra-articular facet injections, cyst aspirations, and predominantly indirect cyst ruptures via parent facet joint access.12–13,16,17 Parlier-Cuaa et al16 reported 30 patients with LFSCs who were treated with fluoroscopically guided facet joint steroid injections. At 6-month follow-up, only 33% of patients had a favorable response and almost half of the treated patients underwent an operation. In a large study of 101 patients who underwent fluoroscopically guided LFSC rupture (Martha et al12), cyst rupture was technically successful in 81% of cases, and 46% of patients were free of pain at a mean follow-up of 3.2 years. In that study, cyst rupture was attempted via intra-articular injection of local anesthetic and/or steroid with contrast into the parent facet joint under increasing pressure until the cyst ruptured. Compared with the results described in our study, a larger proportion of their patients had recurrence of symptoms necessitating surgical resection of the LFSC, which may be attributable to differences in procedural techniques. Most interesting in their study, patients whose cysts were successfully ruptured reported higher levels of pain-related disability 3 years postinjection compared with patients whose cysts were not ruptured. We hypothesize this difference may be partly related to neuronal compression following forceful cyst expansion and ultimately rupture.

More recently, investigators have used CT for image-guided spine procedures, including treating LFSCs using both direct and indirect cyst rupture techniques.5,15,18 The indirect technique is similar to that described for fluoroscopically guided cyst inflation and forceful pressurization to achieve cyst rupture. Amoretti et al18 used CT guidance to directly rupture LFSCs in 120 patients, which was technically successful in 77%. Their technique consisted of direct cyst puncture followed by injection of up to 3 mL of steroid and anesthetic mixture to forcefully rupture the cyst. After ≥1 injection, 75% of patients were free of pain at 12 months. In another CT-guided study, Ortiz and Tekchandani15 performed ≥1 direct percutaneous CT-guided LFSC rupture in 20 patients and reported a 90% success rate after a mean follow-up of 18 months. Their technique consisted of direct cyst puncture, followed by cyst aspiration, and attempted cyst rupture via injection of 1–3 mL of steroid and anesthetic mixture. These studies demonstrate that the outcome of percutaneous LFSC treatment varies depending on the injection technique, imaging technique used, and follow-up, with good technical success and short-term pain relief and variable longer term outcomes.

The advantages of CT guidance include precise visualization of the cyst, facilitating direct puncture while avoiding critical structures such as thecal sac and exiting nerve. Compared with direct cyst puncture, the indirect cyst rupture technique has limited success in patients in whom it is not possible to access the parent facet joint, in whom the cyst does not communicate with the facet, or in cases in which adequate pressurization of the cyst cannot be achieved. The latter limitation may occur in cases in which the injectate refluxes out of the posterior joint capsule and in patients who may have thick or calcified cyst walls that are resistant to pressure-induced rupture.

Our CT-guided fenestration technique has not been previously described and differs from other CT-guided techniques that have used forceful pressurization of the cyst to induce cyst rupture after direct cyst puncture. Our back-and-forth fenestration technique likely creates multiple sites of cyst wall disruption, which may delay recurrence compared with cyst rupture under pressure (which likely results in a single fenestration). In our experience, the fenestration technique is far less painful than cyst inflation and forceful pressurization to induce rupture, facilitating faster patient recovery and minimizing the amount of procedural sedation. This technique may also be less likely to cause injury to adjacent nerves, which may occur with rapid cyst inflation. As part of our procedure, we injected a small volume of steroid, anesthetic, and contrast to opacify the cyst and treat facet arthropathy. Our average injectate volume of 1.2 mL is the lowest among all published studies and contrasts with reported volumes of 3.5–15 mL1,13,14 used for indirect cyst rupture.

Surgical Management and Associated Complications

Surgical resection may be considered for patients with persistent intractable pain and neurologic deficits. As part of a systematic review, Bydon et al19 reviewed 82 studies including 966 patients treated with synovial cyst excision with or without concomitant spinal fusion. During a follow-up of 25 months, 22% of patients had recurrent back pain, 6% required reoperation, and 78% of those required spinal fusion for instability and mechanical back pain. Recurrence of synovial cysts at the same level occurred in 1.8% of patients after decompression alone, but none recurred in patients who underwent decompression with concomitant spinal fusion. The surgical complication rate was 4.8% and included a dural tear, CSF leak, deep venous thrombosis, and 1 death.

Other complications that have been reported after an operation include worsening postoperative instability (especially after laminectomy without fusion), nonunion following fusion, infection, and postoperative hemorrhage.8 Similar to the previously reported surgical literature, 12% of our patients required re-operation and none of our patients who underwent fusion during the primary operation had cyst recurrence or needed re-operation. The surgical data suggest good overall long-term outcomes after surgical cyst excision with a low recurrence rate, but a non-trivial complication rate. The management of symptomatic lumbar synovial cysts is therefore challenging, regardless of the treatment option.

Imaging Predictors of Success

Twenty-eight percent of the cysts in our study were calcified on CT, comparable with previous descriptions.20 While we were able to successfully fenestrate even calcified cysts, patients with calcified cysts were less likely to respond to our procedure and more likely to require an operation at long-term follow-up. On MR imaging, those patients with thin-rimmed T2 hyperintense cysts, as opposed to thick-rimmed or low-T2 signal cysts, were more likely to have a successful outcome (statistically significant) and were less likely to require an operation (trend). Reasons for this could be that cysts with calcification, hemorrhage, or elevated protein content or cysts with thick fibrous capsules are less likely...
to collapse and more likely to recur, even after successful aspiration and fenestration. These results are consistent with those of Cambron et al. and Huang et al. Cambron et al examined the ability of the MR imaging appearance of LFSCs to predict the response to CT-guided indirect cyst rupture. In their study of 110 patients, LFSCs with high or intermediate T2 signal were easier to rupture and were less likely to require an operation. Huang et al reported their experience with percutaneous cyst rupture in 71 patients, 36% of whom had calcified cysts. In their study, calcified cysts were less likely to result in a technically successful procedure, but there was no effect on long-term outcome or the need for an operation.

**Long-Term Follow-Up**
Our mean follow-up of 49 months is the longest reported for percutaneous treatment of LFSCs. At long-term follow-up, 56% of our patients had sufficient symptomatic relief from the procedure to obviate an operation. This result is similar to or better than those of previous long-term studies (follow-up range, 34–44 months; 43%–55% of patients requiring an operation). However, our mean time to the first operation at 11 months is longer than that reported by studies (11 months) with a similar number of patients, which may be due to the greater technical success achieved by our CT-guided aspiration and fenestration technique.

**Study Limitations**
The limitations of our study include its retrospective design with variable follow-up intervals and lack of a control group. We had long-term follow-up data available on 89% of patients. Our success rate from the procedure would have been lower if all patients lost to follow-up (11%) had required an operation. Our study design (retrospective observational cohort) without a control group may also have introduced several biases that could have influenced our outcomes. These include, but are not limited to, patient recall bias and placebo effect. Additionally, because an epidural steroid injection was performed concurrently in most patients, it is possible that this may have caused a positive response, rather than the cyst fenestration. We recognize these limitations and think that the results of our study should be further validated with a control group. Study strengths include our large number of patients and long-term follow-up.

**CONCLUSIONS**
CT-guided direct LFSC aspiration and fenestration are a unique technique associated with high technical success, low complication rates, and resolution of symptoms. In our experience, with careful patient selection, the procedure obviates an operation in a substantial number of patients and should be considered before surgical intervention.

**REFERENCES**
